



Empa

Materials Science and Technology

24.02.2022

Conférences énergie-environnement printemps, Université de Genève

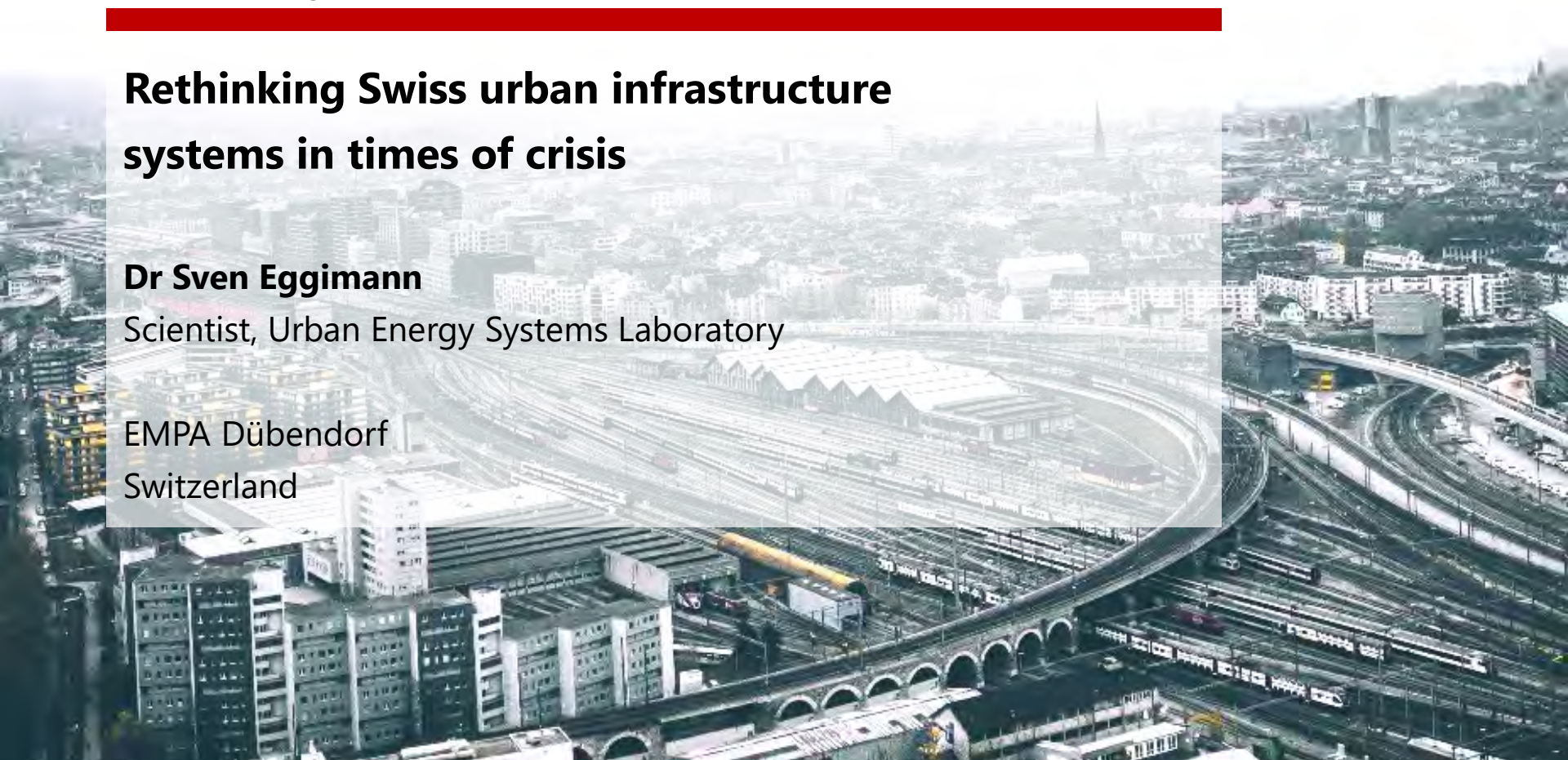
Rethinking Swiss urban infrastructure systems in times of crisis

Dr Sven Eggimann

Scientist, Urban Energy Systems Laboratory

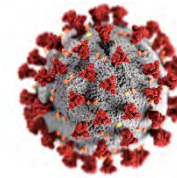
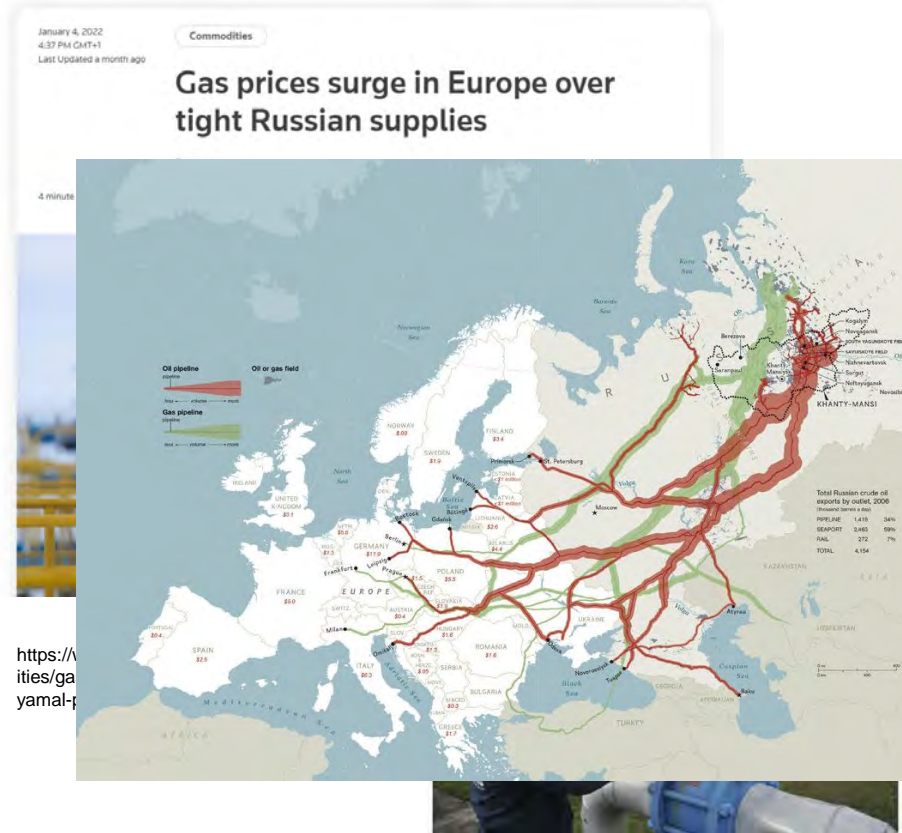
EMPA Dübendorf

Switzerland



Times of crisis

Neue Zürcher Zeitung



Mysteriöse Lungenkrankheit in Zentralchina ausgebrochen – einige Stimmen befürchten einen erneuten Ausbruch der Lungenseuche Sars



<https://www.nzz.ch/panorama/mysterioese-lungenkrankheit-in-zentralchina-ausgebrochen-einige-befuerchten-einen-erneuten-ausbruch-der-lungenseuche-sars-ld.1531501>

- Many different types of crisis
- Impacts on complex interacting & interconnected infrastructure systems
- Opportunity to re-think *modus operandi*



Urbanization

Opportunities for sustainable densification

Climate Change

Climate change and building cooling demand

Urban Green space

Superblocks: transformation street space

Opportunities for sustainable densification



European context

- 68% of the world population will be living in cities by 2050
- In Europe, on average only 13% of total land use was «recycled»
- Increasing pressure on cities, limited availability of land
 - Need for increasing density in established residential areas and inward development



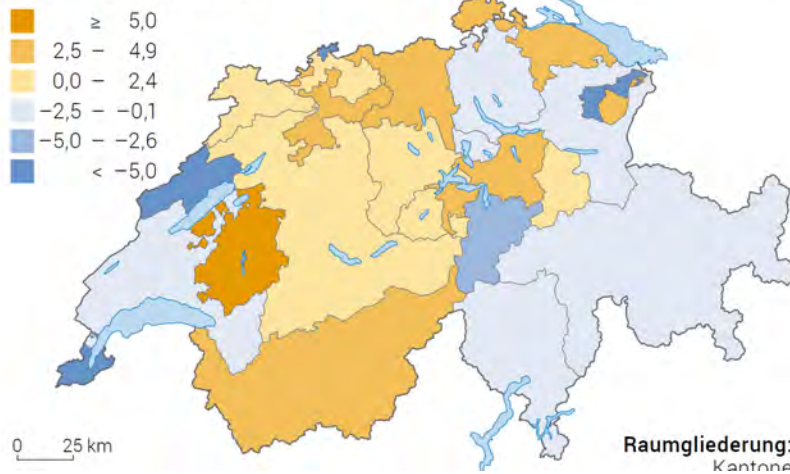
Swiss densification context

- Increasing population
- Urbanization
- COVID impacts (?)

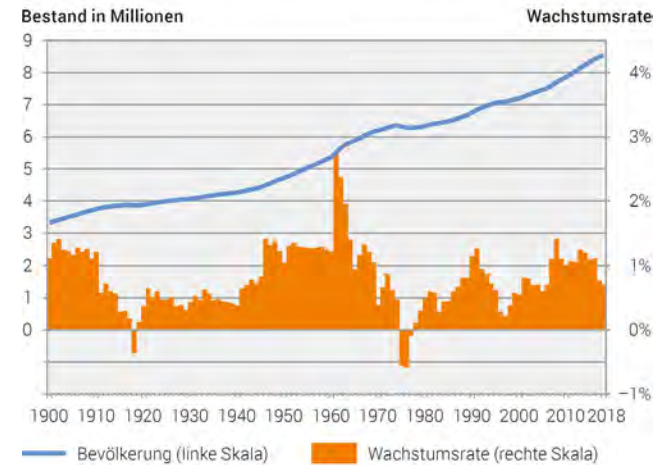
Switzerland

Binnenwanderungssaldo 2020

Differenz aus Zu- und Wegzügen von anderen/in andere Regionen der Schweiz pro 1 000 Einwohner/innen¹



Bevölkerungswachstum und -bestand

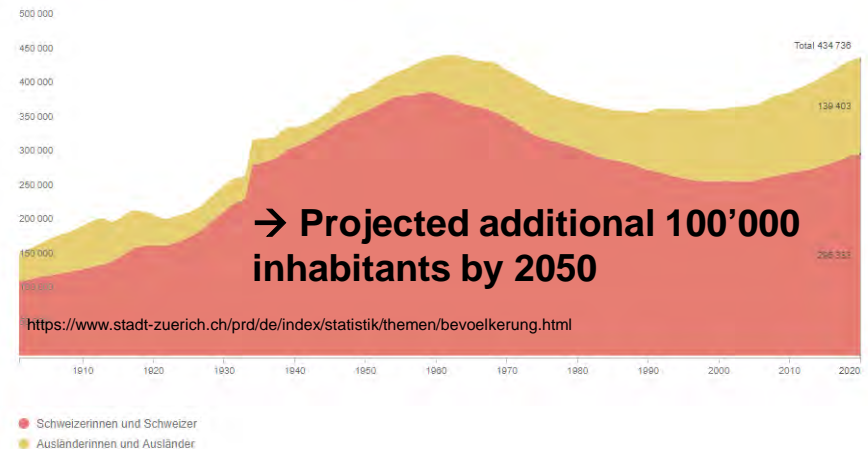


Quellen: BFS – ESPOP, STATPOP, VZ

© BFS 2019

Zürich

Bevölkerungsbestand nach Herkunft



Swiss densification context



Buttisholz, Luzern 2005



Source: FSO 2011

Bundesgesetz über die Raumplanung

(Raumplanungsgesetz, RPG)¹

vom 22. Juni 1979 (Stand am 1. Januar 2019)

Die Bundesversammlung der Schweizerischen Eidgenossenschaft,

gestützt auf die Artikel 22^{quater} und 34^{sexies} der Bundesverfassung^{2,3} nach
Einsicht in eine Botschaft des Bundesrates vom 27. Februar 1978⁴,

beschliesst:

- 1. Titel: Einleitung

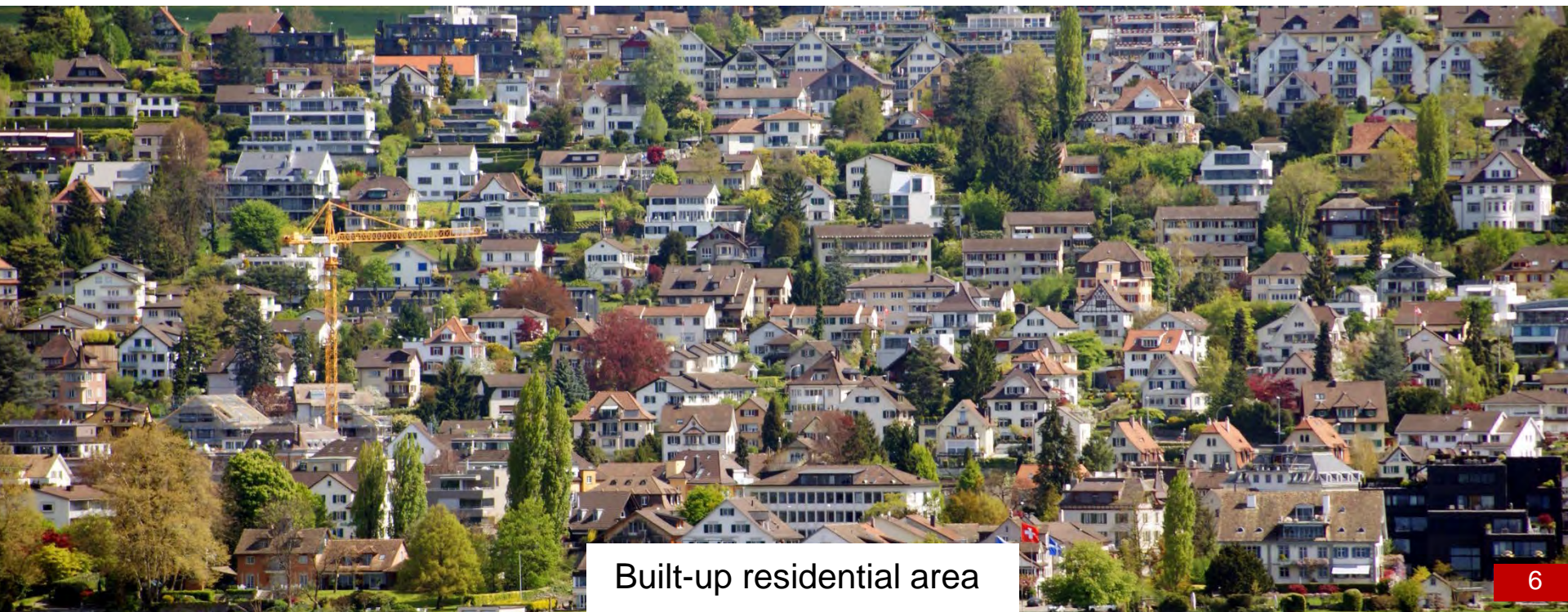
- Art. 1 Ziele

¹ Bund, Kantone und Gemeinden sorgen dafür, dass der Boden
haushälterisch genutzt und das Baugebiet vom Nichtbaugebiet getrennt
wird.¹ Sie stimmen ihre raumwirksamen Tätigkeiten aufeinander ab und
verwirklichen eine auf die erwünschte Entwicklung des Landes ausgerichtete
Ordnung der Besiedlung. Sie achten dabei auf die natürlichen
Gegebenheiten sowie auf die Bedürfnisse von Bevölkerung und Wirtschaft.

² Sie unterstützen mit Massnahmen der Raumplanung insbesondere die
Bestrebungen:

- a. die natürlichen Lebensgrundlagen wie Boden, Luft, Wasser, Wald
und die Landschaft zu schützen;
- a^{bis}.² die Siedlungsentwicklung nach innen zu lenken, unter
Berücksichtigung einer angemessenen Wohnqualität;
- b.³ **kompakte Siedlungen** zu schaffen;

Re-thinking where to build







Re-thinking where to build

- **Post-war (1945–1980) urban neighbourhoods**
 - ~25% of building stock
 - Second renovation cycle
 - Poor energy performance → Densification co-benefits

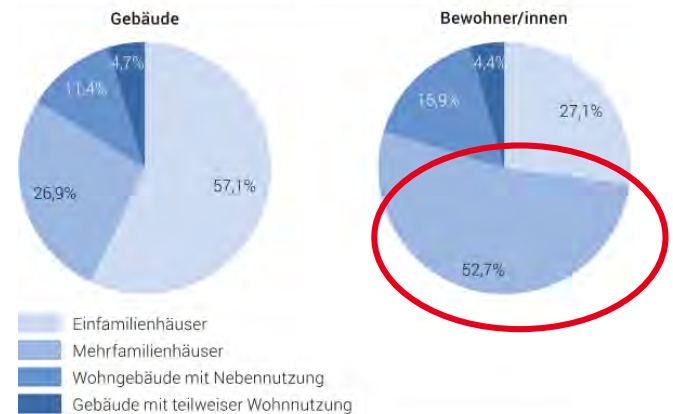
- **Already built-up area** (≠ industrial wasteland or building zones)
 - Prevents green-field development
 - Central locations promise sustainability gains

- **Neighbourhoods** (≠ single family homes)
 - Coordination challenges are smaller



Ostermeyer et al. 2018

Verteilung der Gebäude und deren Bewohner/innen nach Gebäudekategorie, 2018



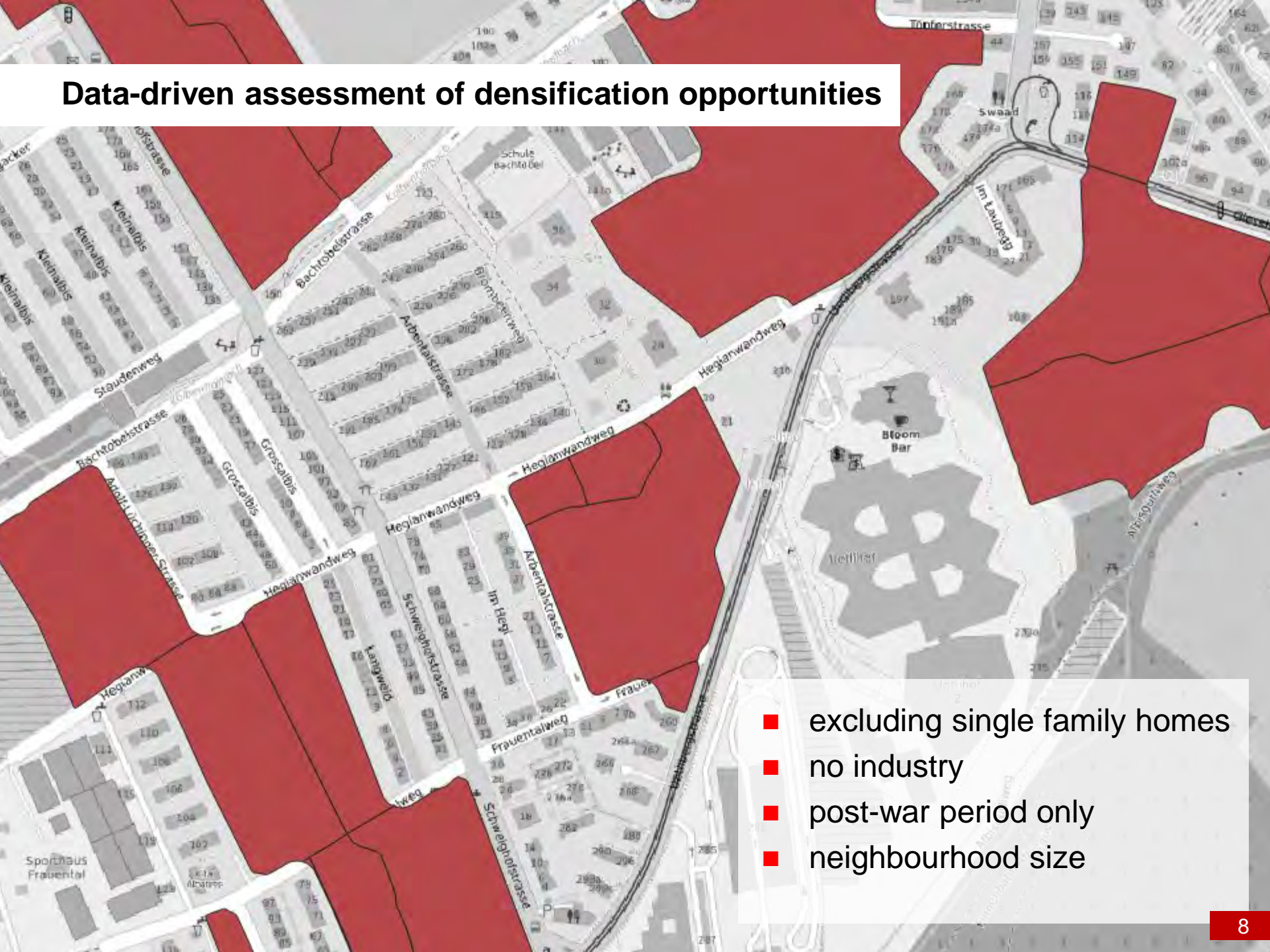
Quelle: BFS – Gebäude- und Wohnungsstatistik

© BFS 2019

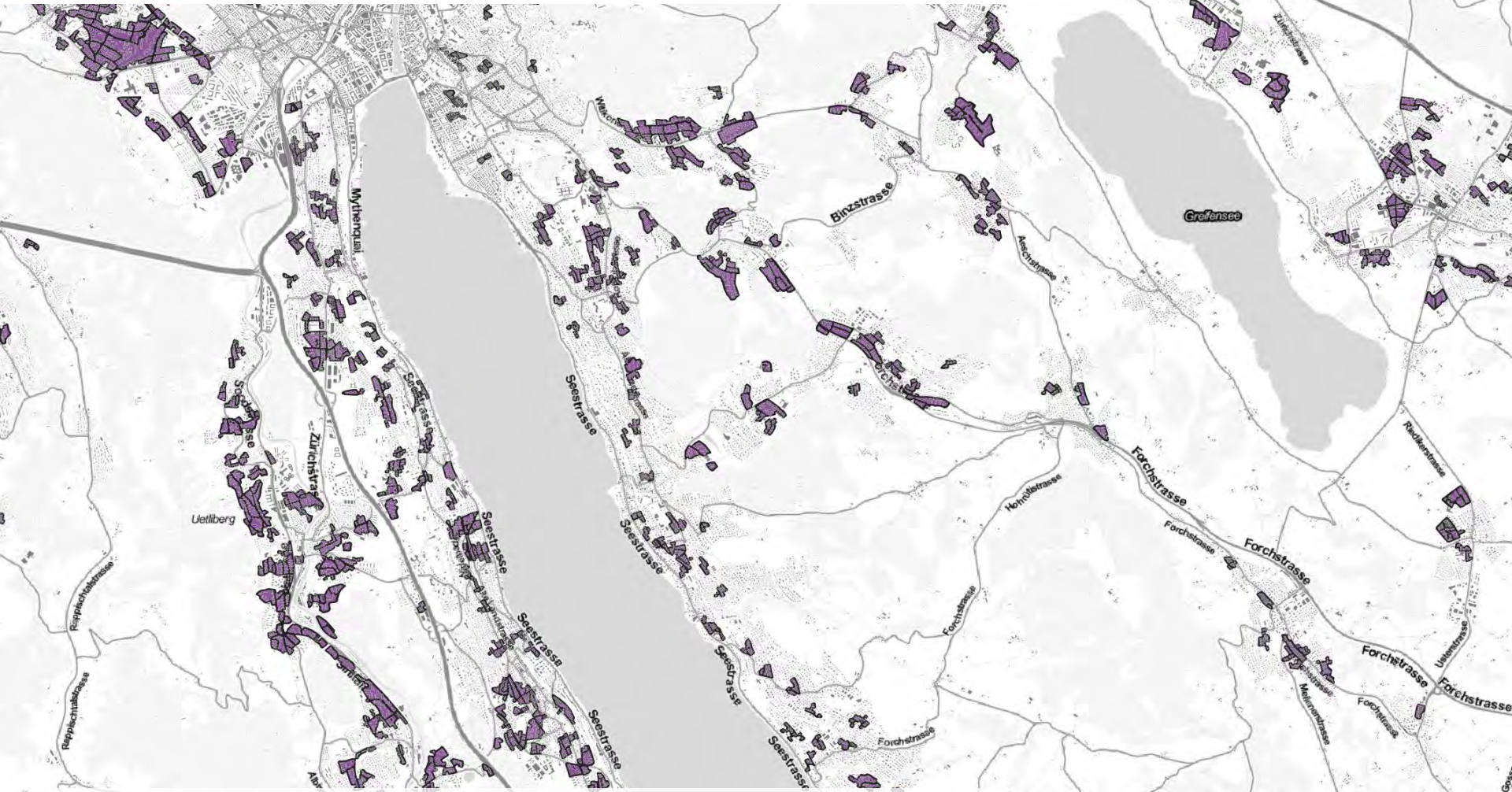
Data-driven assessment of densification opportunities



Data-driven assessment of densification opportunities

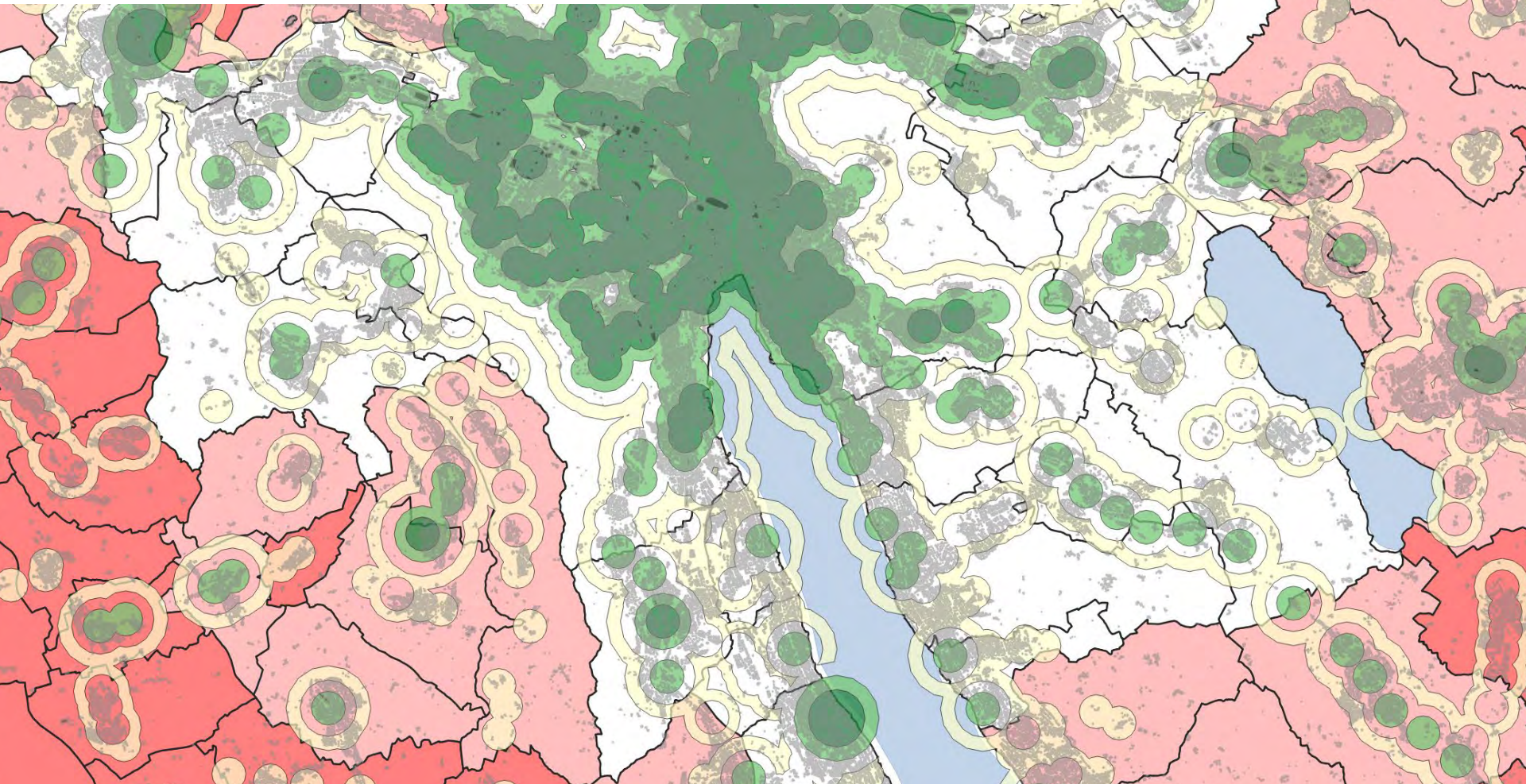


Data-driven assessment of densification opportunities



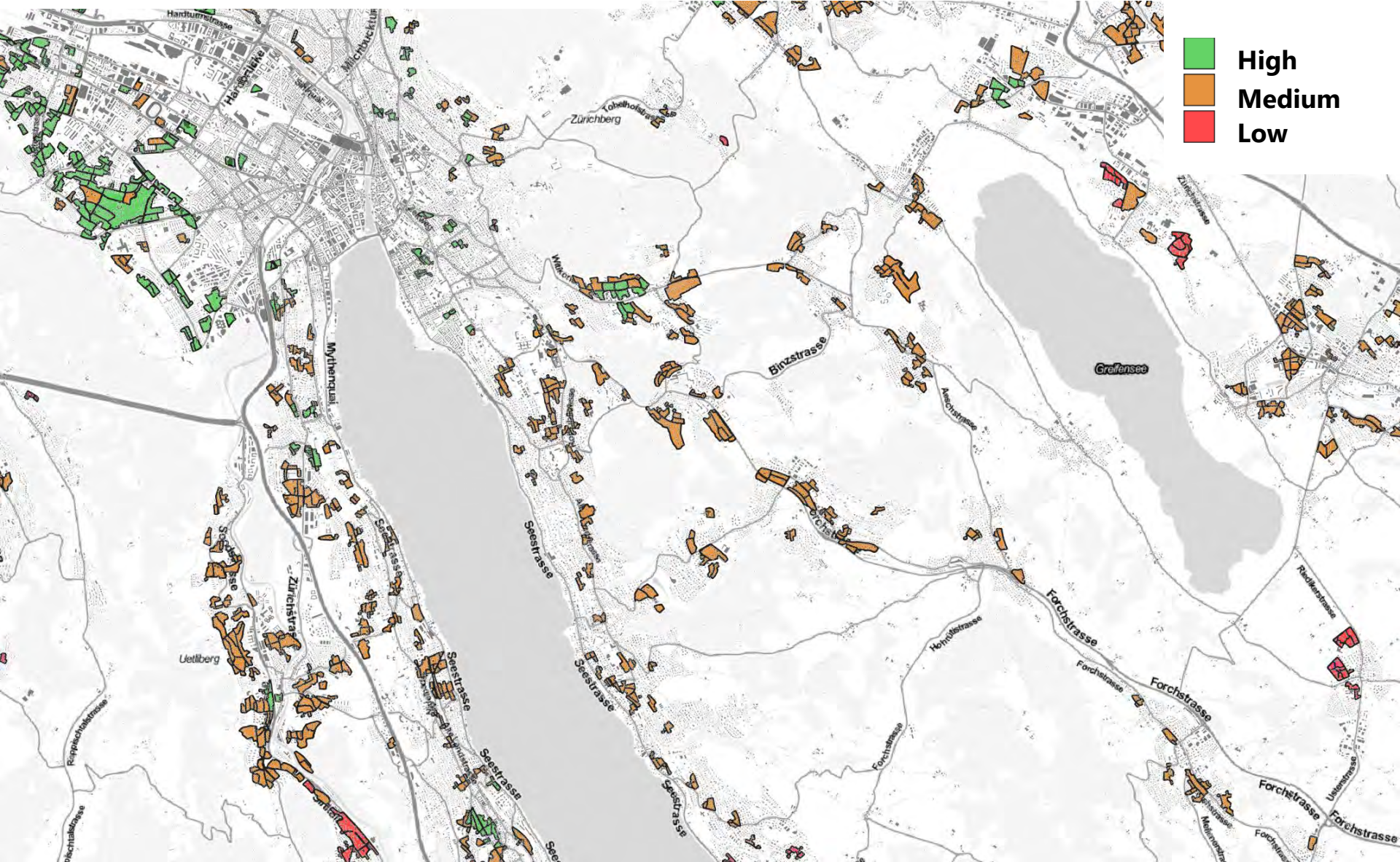
- Neighbourhood all across Switzerland
- Where is densification most sustainable?

Geospatial evaluation

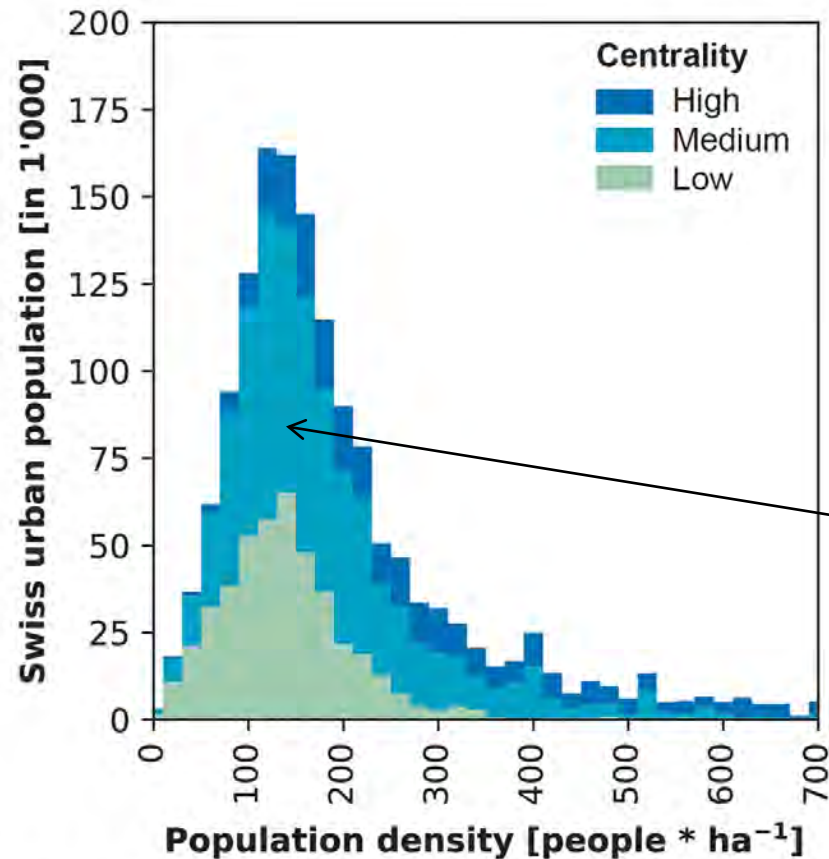


- Accessibility to public transportation
- Travel time to urban centre

Suitability classification for densification



Accessibility and connectivity



- Neighbourhoods with high accessibility and centrality have typically higher densities
- Many central / medium neighbourhoods with relatively low density values

Fig. 5. Population density and geographic location of all identified Swiss urban post-war neighbourhoods.

Post-war neighbourhood archetypes

- Archetype definition by architects

A1



A2



A3



A4



A5

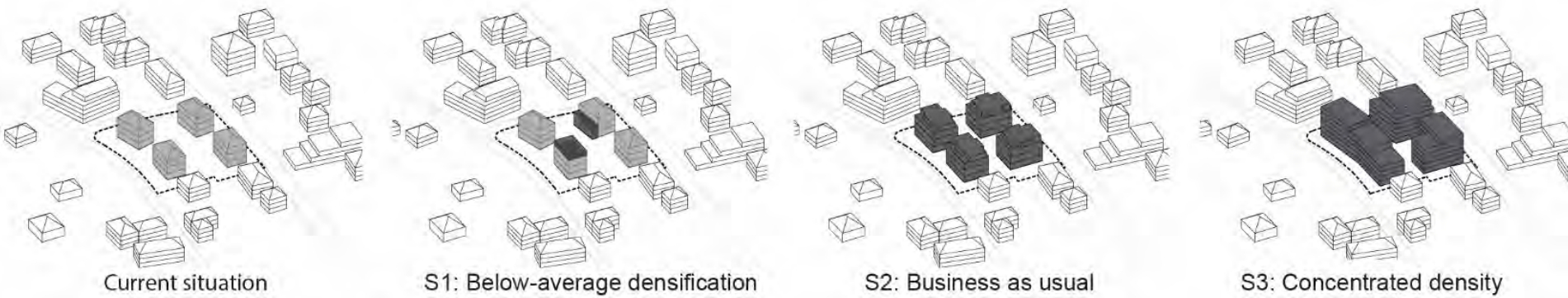


- Supervised classification

Neighbourhood morphology



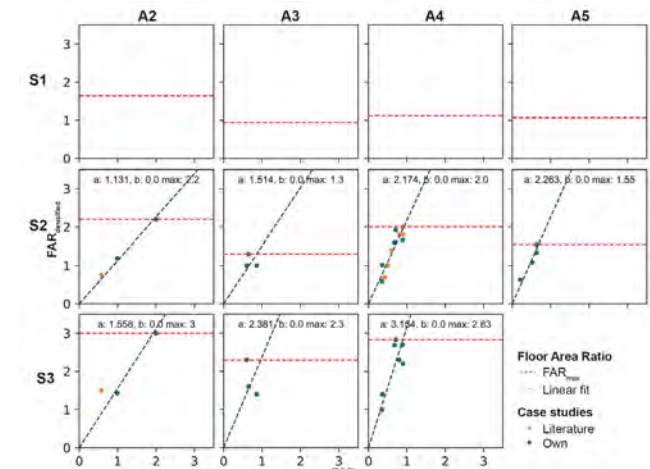
- Densification strategies



Urban design catalogue to assess floor area ratios

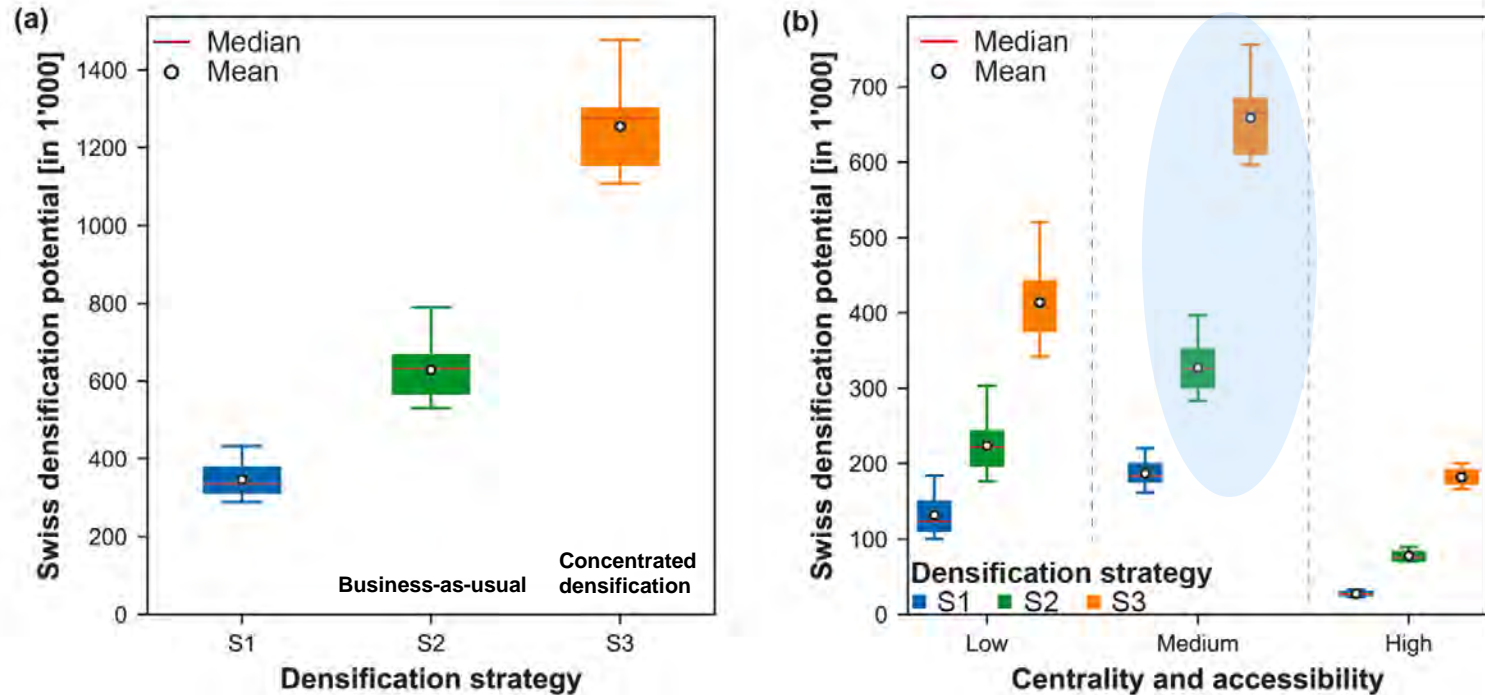
Archetype	Current	Strategy S2	Strategy S3
A2	Tschammergut, Zürich		
	FAR: 0.971	FAR: 1.19	FAR: 1.432
A2	Siedlung, Zürich	Hardau, II, Zürich	
	FAR: 2.011	FAR: 2.285	FAR: 3.091
A3	Alterssiedlung, Zürich	Irchel, Zürich	
	FAR: 1.068	FAR: 1.385	FAR: 1.689

Maximum **floor area-ratios** per densification strategy and neighbourhood archetype



Additional inhabitants calculated based on change in FAR

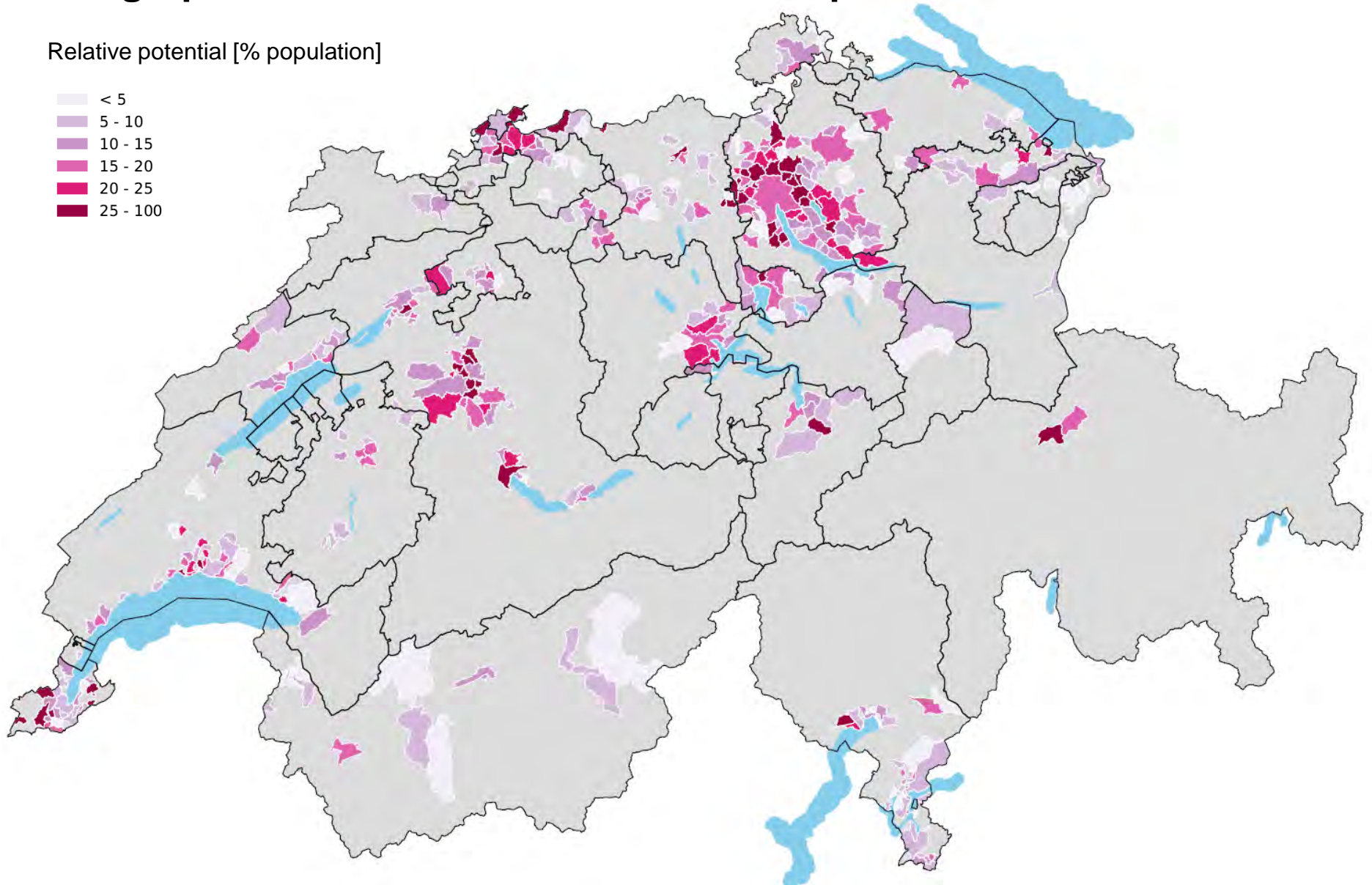
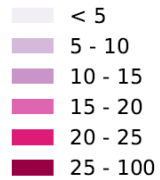
Swiss densification potential of post-war neighbourhoods



- **4 – 15% of current Swiss population** could be accommodated in post-war neighbourhoods (0.35 – 1.24 million people)
- Particularly locations with «medium» accessibility and centrality are interesting. **Business as usual** densification (S2) would be an **opportunity lost**.
- **> 50% of potential** is in favourable locations

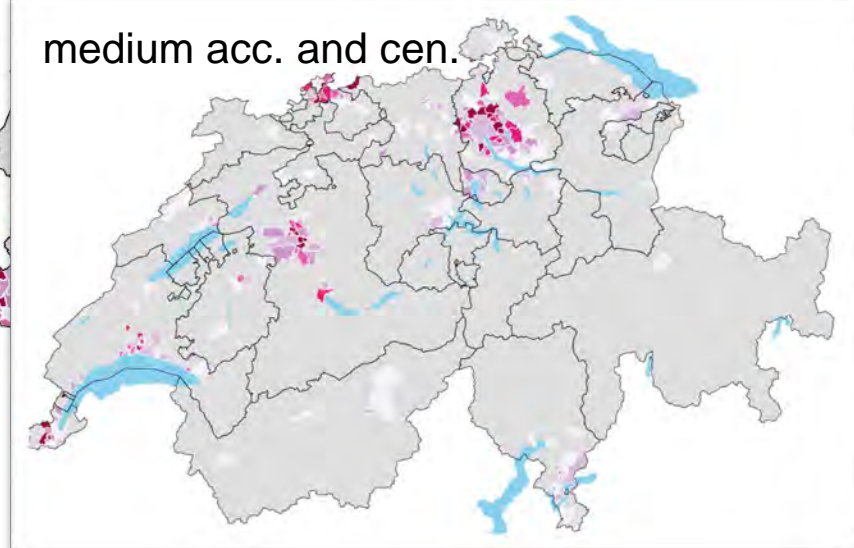
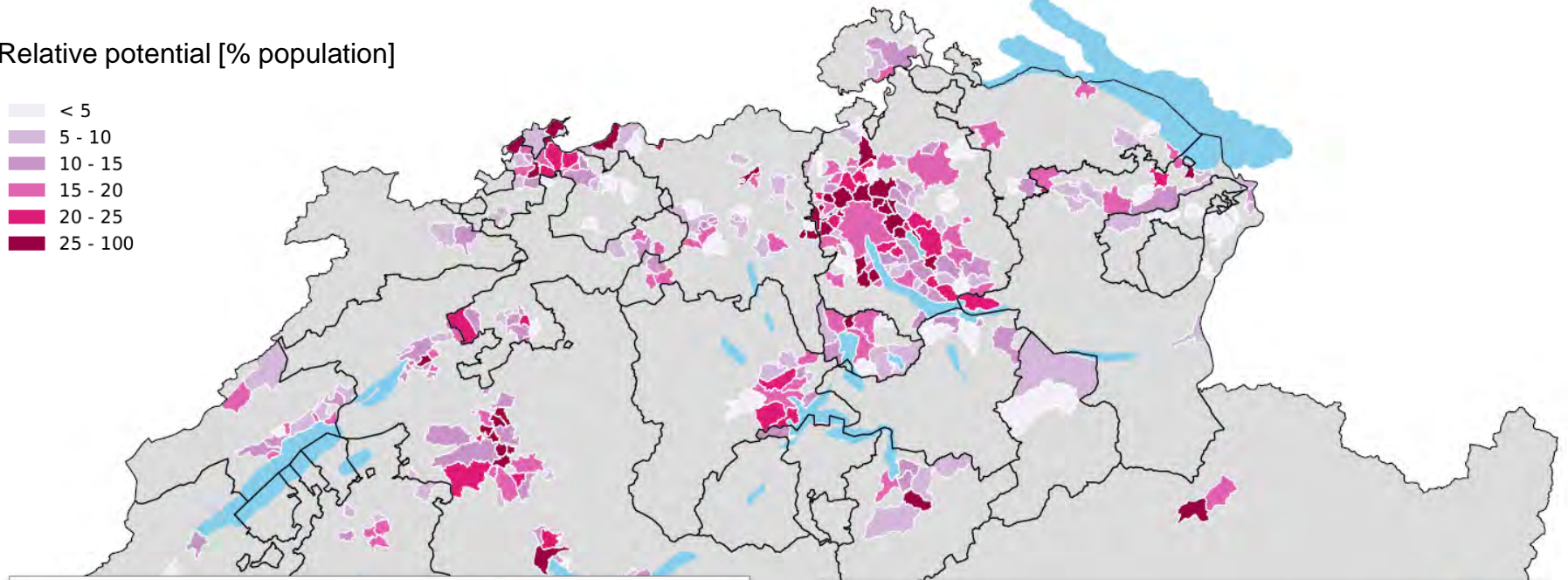
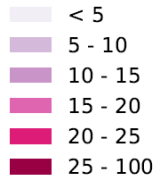
Geographical distribution of densification potential

Relative potential [% population]

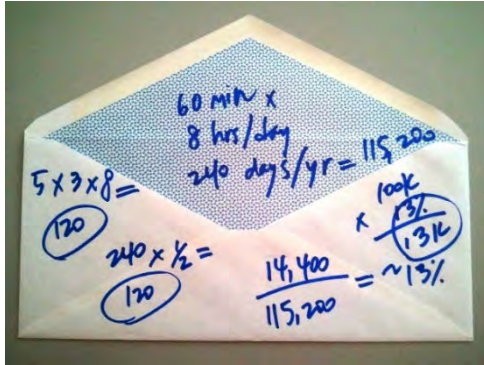


Geographical distribution of densification potential

Relative potential [% population]



Energy implications of densification



Densification scenario versus urban sprawl scenario

- number of inhabitants
 - building type (SFH vs MFH)
 - floor area per person
- 18 – 73 km² additional floor area on greenfield sites
- Savings of annual operational energy of 0.3 – 1.3 TWh

Eggimann et al. (2021): <https://doi.org/10.1016/j.scs.2021.103068>



Energy implications - A multi-sectorial challenge

- Efficiency depends on **urban density**
(e.g. energy density, economies of scale and density)
- Where will we **live and work?** (15-minute city?)
- **Multiple infrastructure systems**
 - Mobility (car ownership, public transportation)
 - Drinking water, telecommunication, wastewater ...



Lessons learnt for re-thinking neighbourhood densification

- **Post-war neighbourhoods** are critical for sustainable densification. Considerable densification **potential in already built-up neighbourhoods**.
- Geographic evaluation enables **prioritisation** of densification sites.
- Business as usual is an opportunity lost: **targeted densification** in most suitable locations
- A **multi-sectorial** approach is necessary for more holistic evaluation of densification and sustainability (particularly mobility).
- **Socio-technical system: Floor area per person** is single-most critical factor to improve sustainability of densification (e.g. empty nesters, flat size).



Climate change and building cooling demand



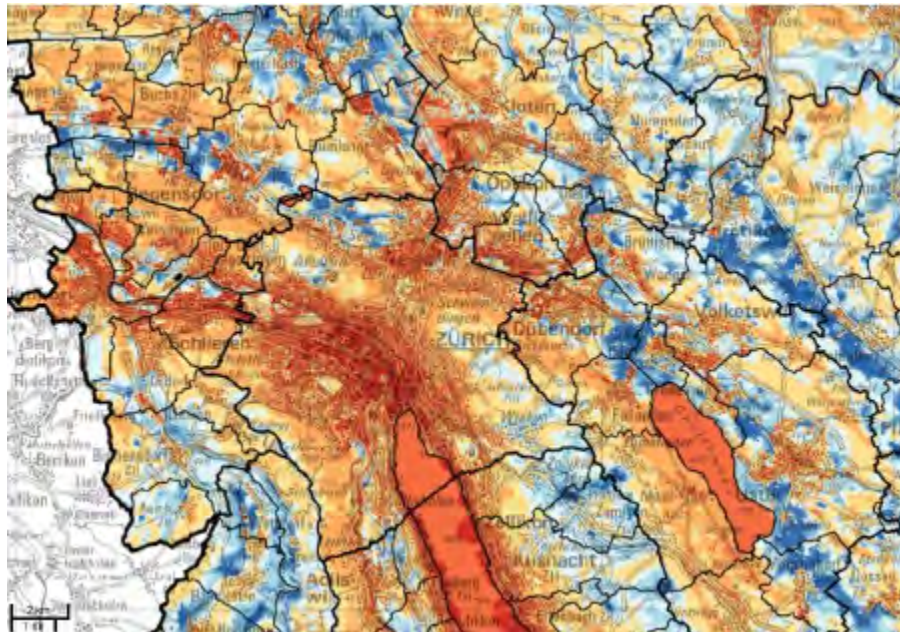
Times of crisis – Energy and climate change



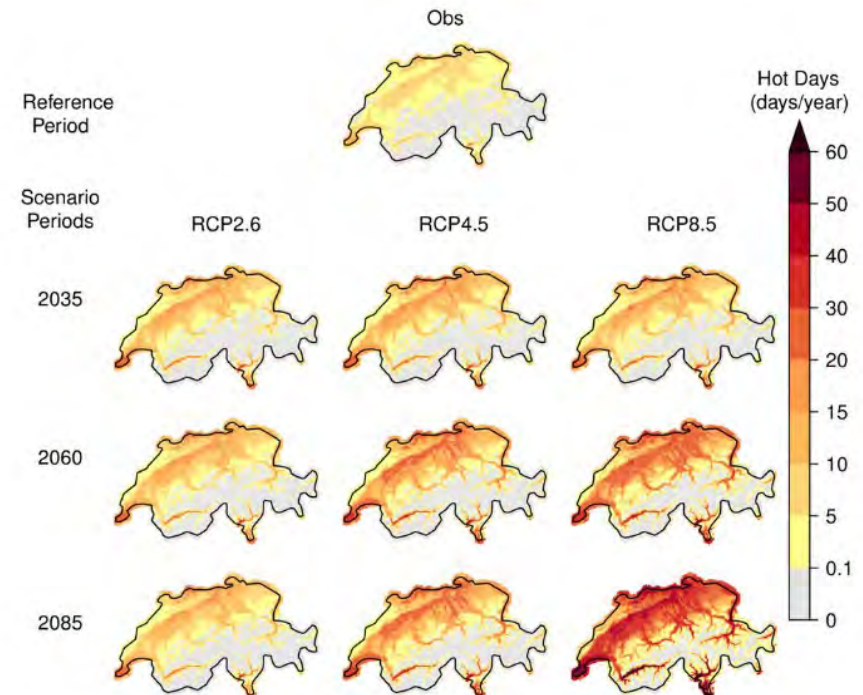
<https://www.zuonline.ch/wie-zuerich-die-hitze-bekaempfen-will-724648800732>

Climate change in Switzerland

- **Air temperature** has increased by $\sim 2^{\circ}\text{C}$ between 1864 – 2017
- The **zero-degree line** has risen by 300 – 400 m since the 1960s
- This warming has led to more frequent and more intense **heat waves**
- **Urban heat island effect**



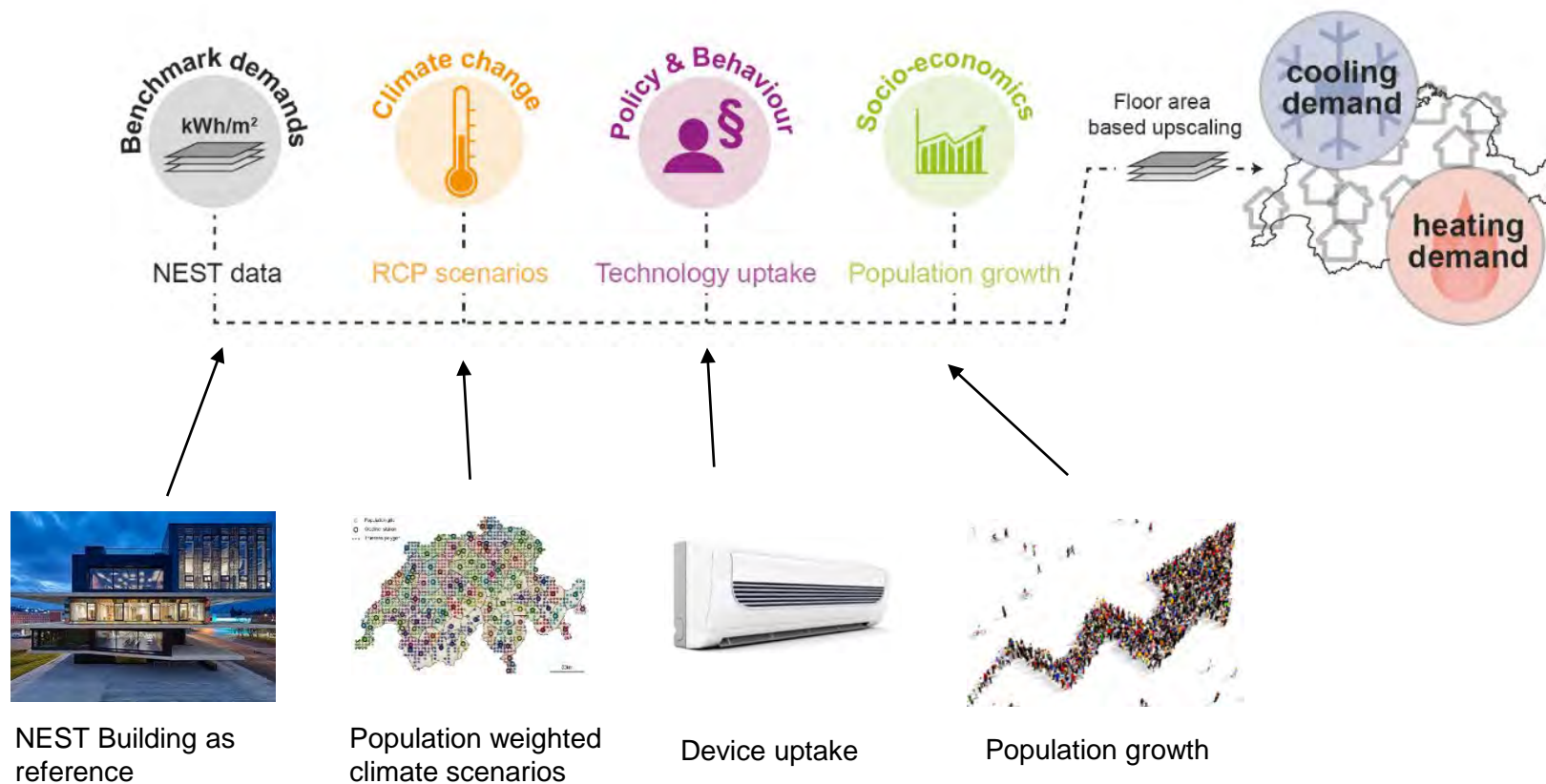
Burgstall (2019): [Link](#)



Source: CH 2018: [Link](#)

Future building cooling demand in Switzerland – a problem?

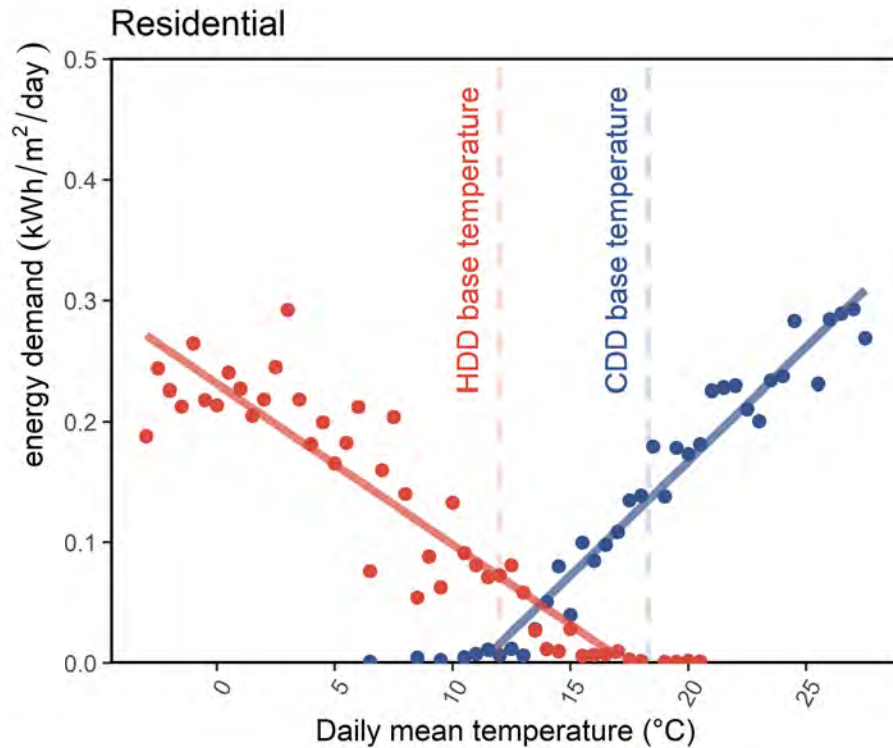
- Combining factors that determine thermal building energy demand



Benchmarking demands: Energy signature

NEST energy signature

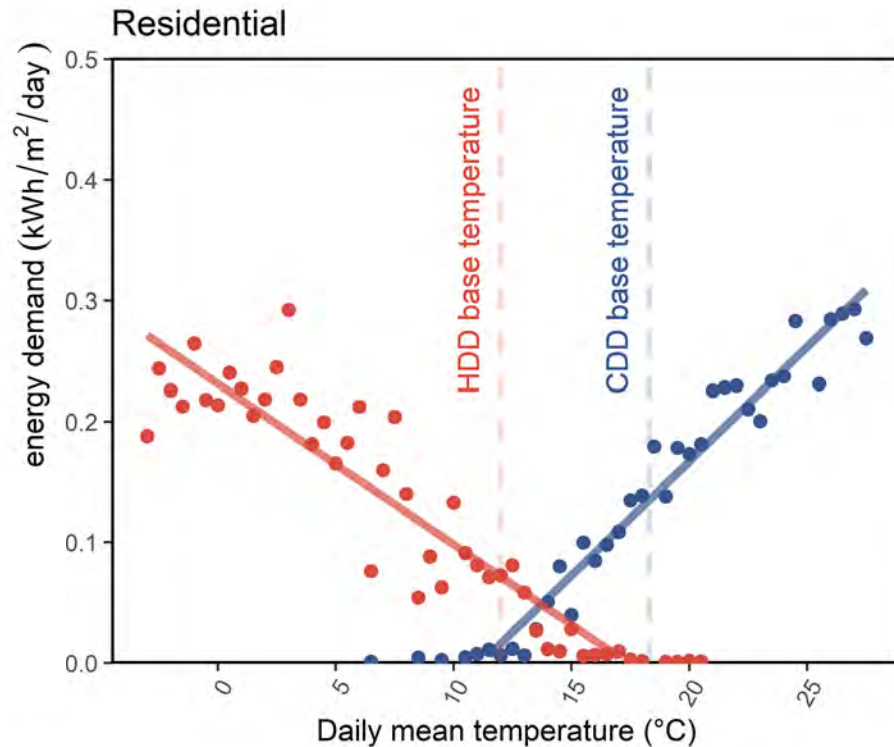
Ambient temperature to thermal demand correlation



Benchmarking demands: Energy signature

NEST energy signature

Ambient temperature to thermal demand correlation



Swiss energy signatures per building type and building age

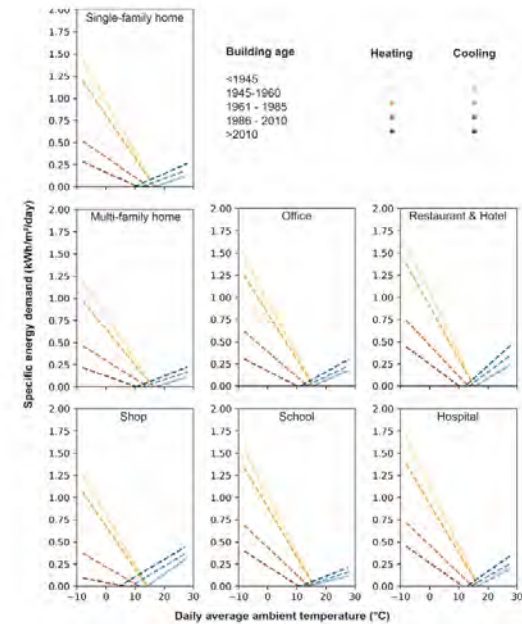


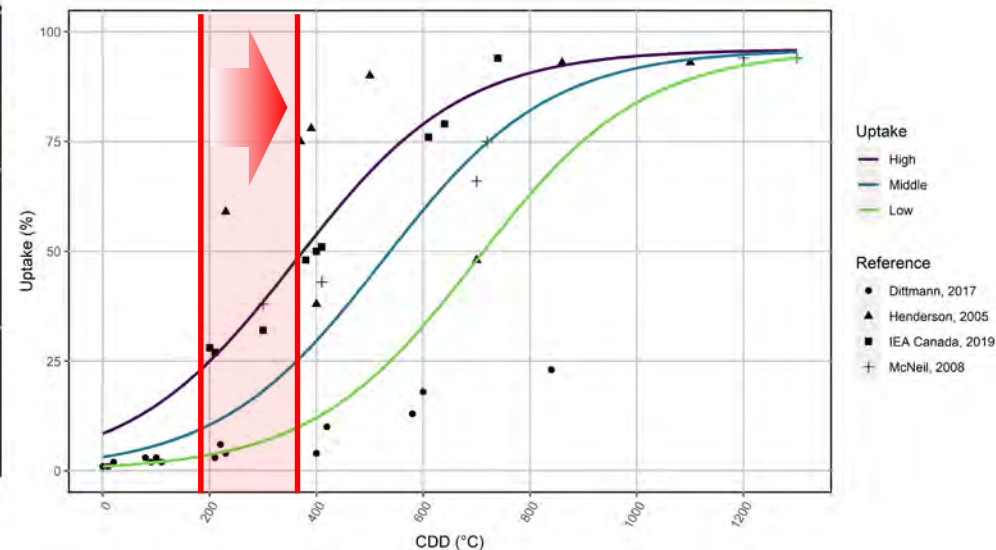
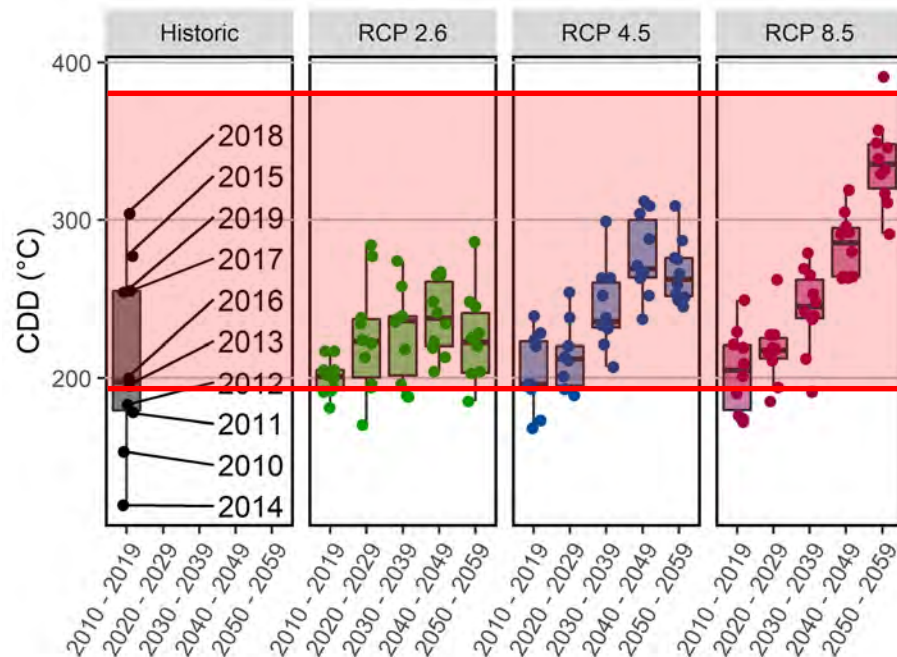
Table 3
 Simulated building type and building age class-specific cooling and heating energy demands for the year 2016

Building type	cooling demand (kWh/m ² /year)					All
	< 1945	1945 - 1960	1961 - 1985	1986 - 2010	> 2010	
Single-family home	2.3	2.6	2.0	7.4	14.7	4.2
Multi-family home	2.2	2.1	1.8	6.5	11.9	3.8
School	2.8	3.0	2.7	5.6	10.7	3.8
Restaurant & Hotel	4.8	5.4	5.0	12.0	13.5	7.0
Hospital	4.4	4.7	4.2	8.6	13.5	5.7
Shop	10.1	10.6	10.6	11.0	41.0	16.8
Office	3.6	4.0	3.9	8.3	14.0	5.8
All						5.1

Building type	heating demand (kWh/m ² /year)					All
	< 1945	1945 - 1960	1961 - 1985	1986 - 2010	> 2010	
Single-family home	159.4	159.6	178.0	189.9	205.6	173.0
Multi-family home	136.4	117.8	95.1	43.5	13.8	89.5
School	176.5	177.1	176.9	62.9	25.5	120.4
Restaurant & Hotel	167.5	177.1	176.9	62.1	25.9	119.1
Hospital	169.3	184.4	186.2	65.8	32.2	113.1
Shop	119.5	111.8	91.4	25.8	2.8	71.9
Office	91.1	111.2	111.7	54.0	17.8	100.1
All						98.2

Climate change and cooling device uptake

- Cooling Degree Days (CDD) for different RCP scenarios
- Distribution of reverse cycle heat-pumps or air conditioning

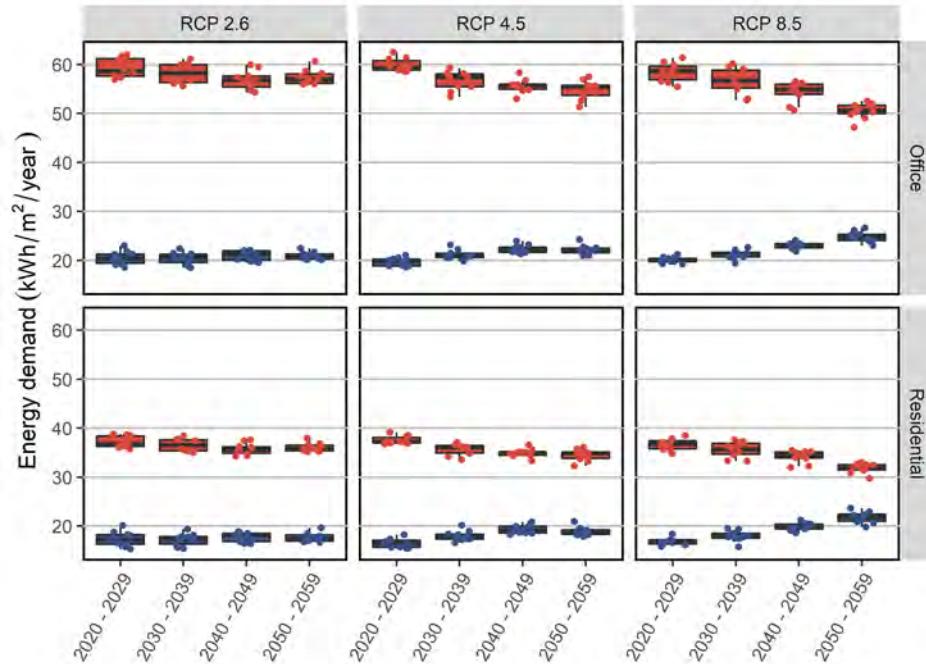


➤ for $T_i > 18.3$ °C:

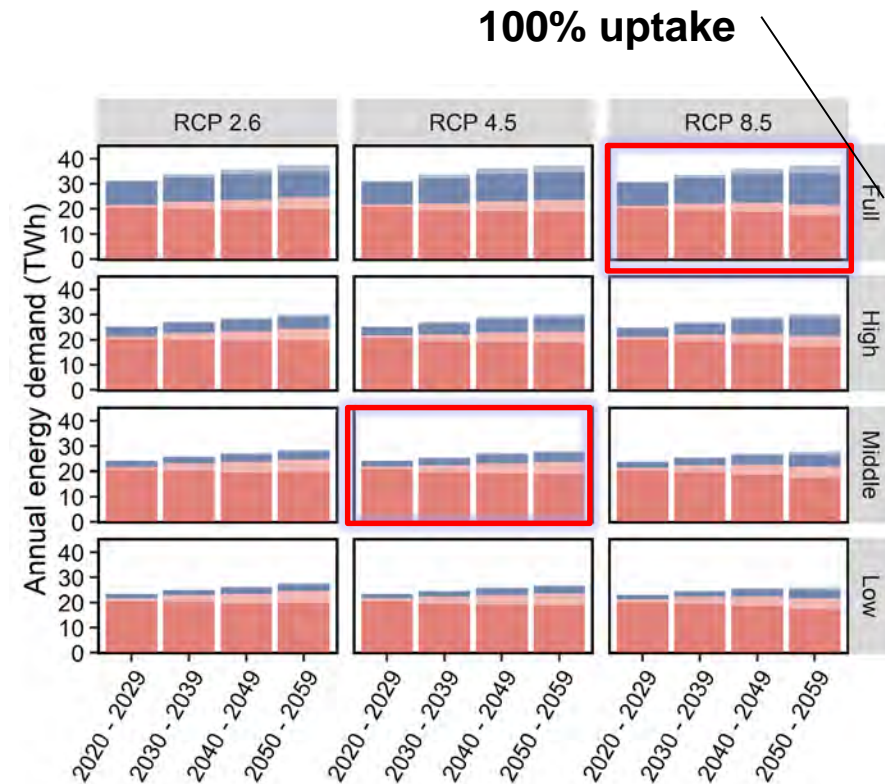
$$\text{CDD} [^\circ\text{C}] = \frac{\sum_{i=1}^{24} T_i [^\circ\text{C}] - T_{\text{CDD}} [^\circ\text{C}]}{24}$$

200 CDD: 5 – 25% cooling devices
 400 CDD: 10 – 55% cooling devices

Future energy demand scenarios



Specific space heating and cooling energy demands of highly efficiency Swiss building



Swiss heating and cooling energy demand scenarios*

- Heating demand still dominates in Switzerland
- 5 TWh with reversible heat pumps → 2 TWh extra electricity demand (1 large power generation plant with 1 GW running for 2000 hours)

*Cooled floor area (m²) per capita: Residential: 46, Office: 7, Service: 4.5
 Moderate population growth (10.4 million people by 2050)
 Service 50 – 90% bin 2050, middle uptake scenario for residential

BREAKING NEWS

Efstratia Mavrapidou, one of the symbol Grannies of Lesvos, passes away

Home / FEATURED / Greeks urged to limit power consumption amid heatwave to avoid blackout

**GREEKS URGED TO LIMIT POWER CONSUMPTION AMID HEATWAVE TO AVOID BLACKOUT**© August 2, 2021  FEATURED, Greece  16 Comments

19

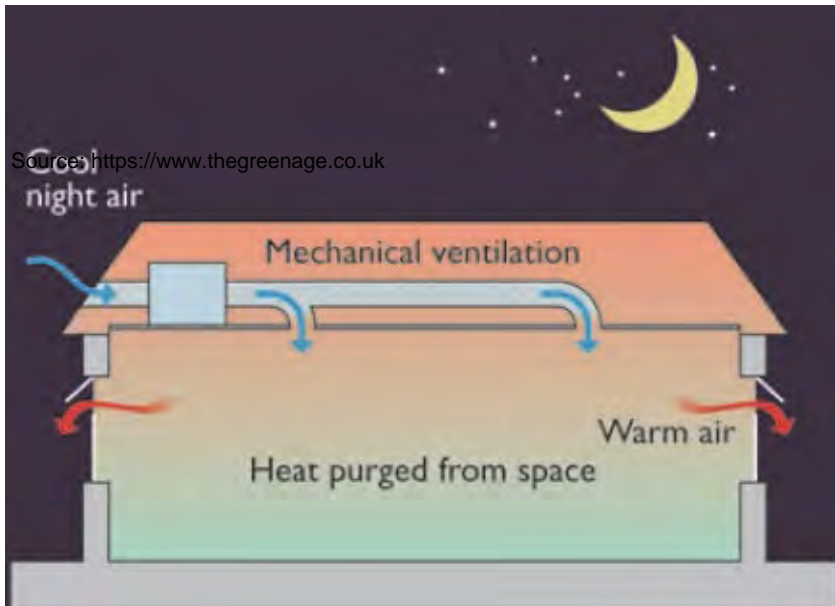
Greeks were urged on Monday to limit the use of electricity amid a 11-day-long heatwave that strike the country with temperatures of 44-45 degrees Celsius. Reason is the energy grid's warning of a power blackout.

The country's Energy Ministry issued a statement urging citizens to limit power and thus at the peak hours of the heatwave 1:00-3:00 pm and 6:00-10:00 pm when the concrete in the urban

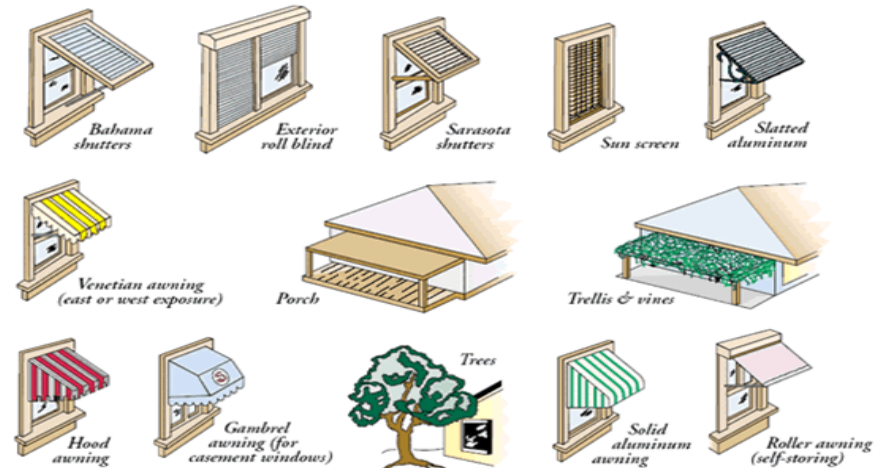
- System level impacts
- Role of PV?

Re-thinking cooling: Sustainable cooling?

Night ventilation



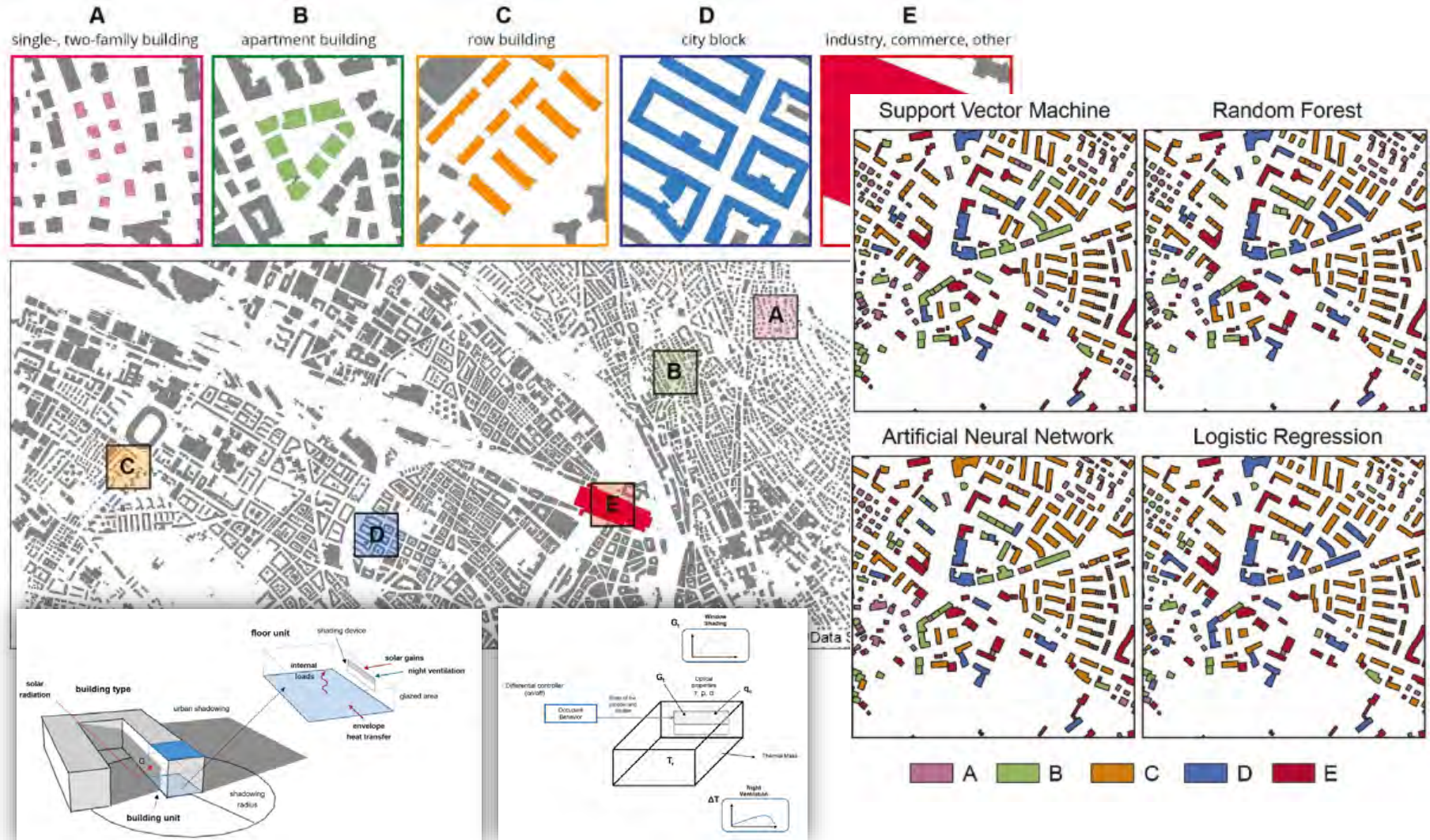
Window shading



Source: <http://www.fsec.ucf.edu>

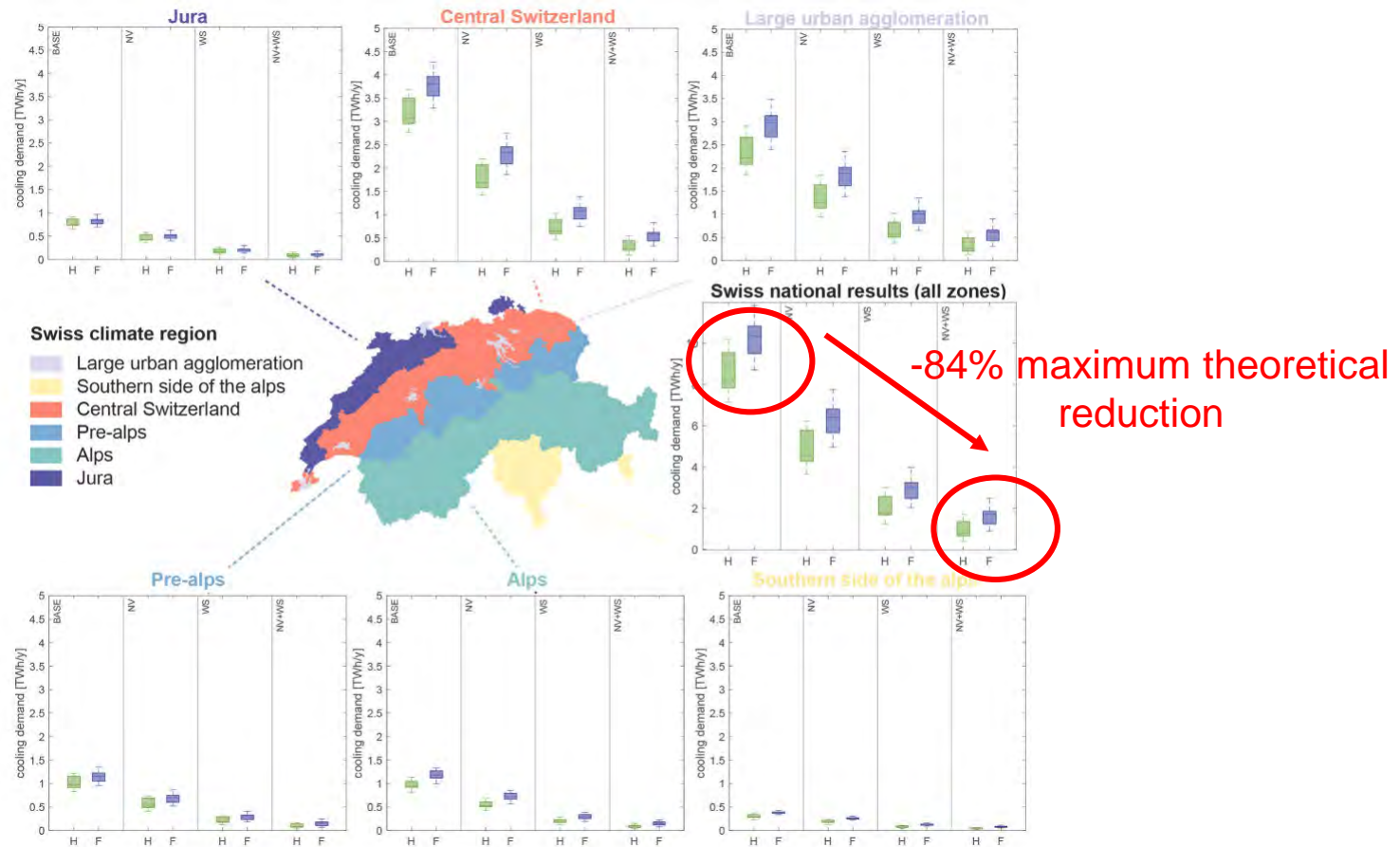
Bottom-up building simulation

- Swiss building stock is classified into different building archetypes



Alternative to mechanical cooling (demand side)

- Cooling energy demand is driven by modern buildings (> 50% current demand)
- Night ventilation and windows shading have a maximum theoretical potential to reduce national cooling energy demand by 84%.



Re-thinking cooling (supply side)

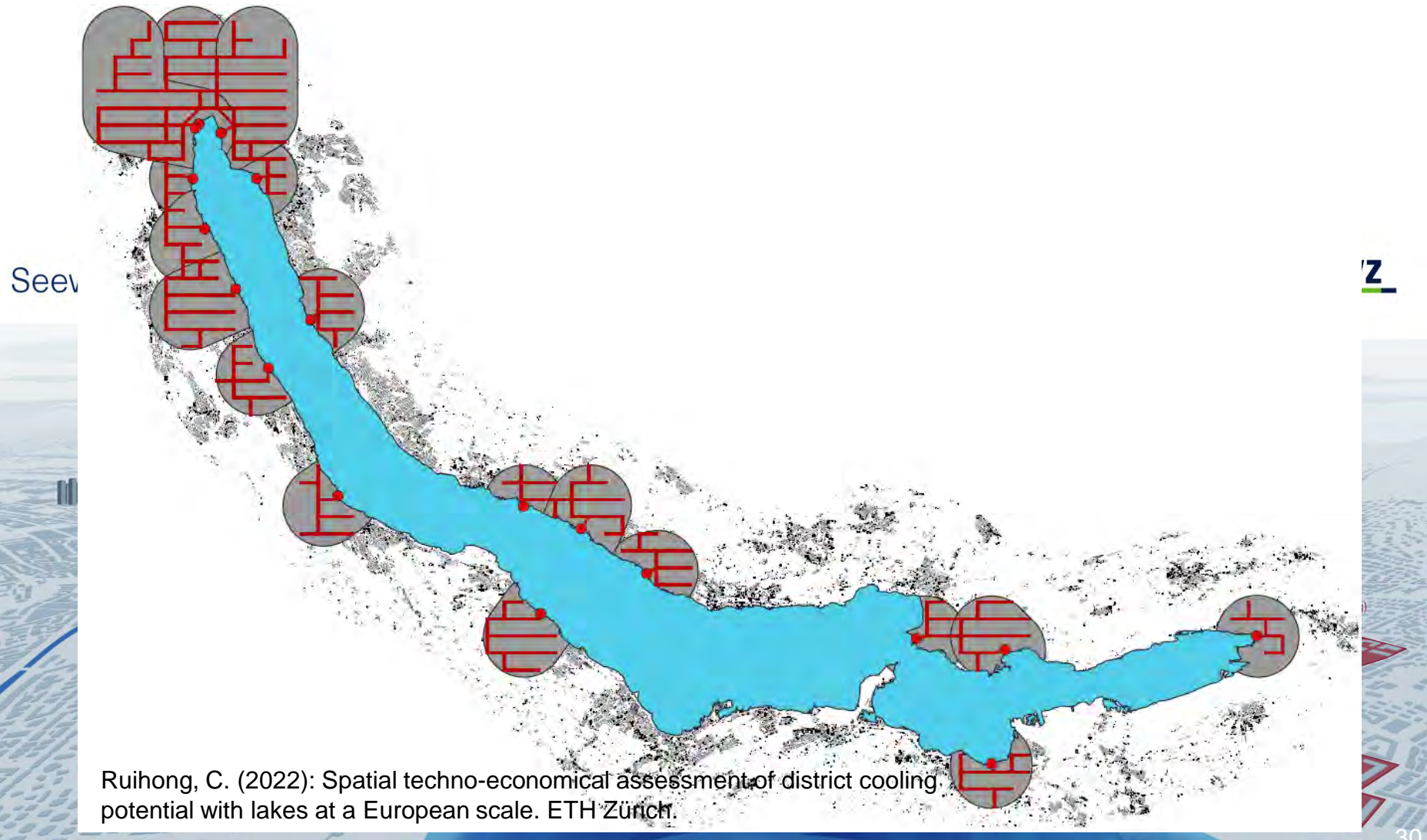
- Central versus decentral approaches

Seewasserverbunde



Re-thinking cooling (supply side)

- Central versus decentral approaches



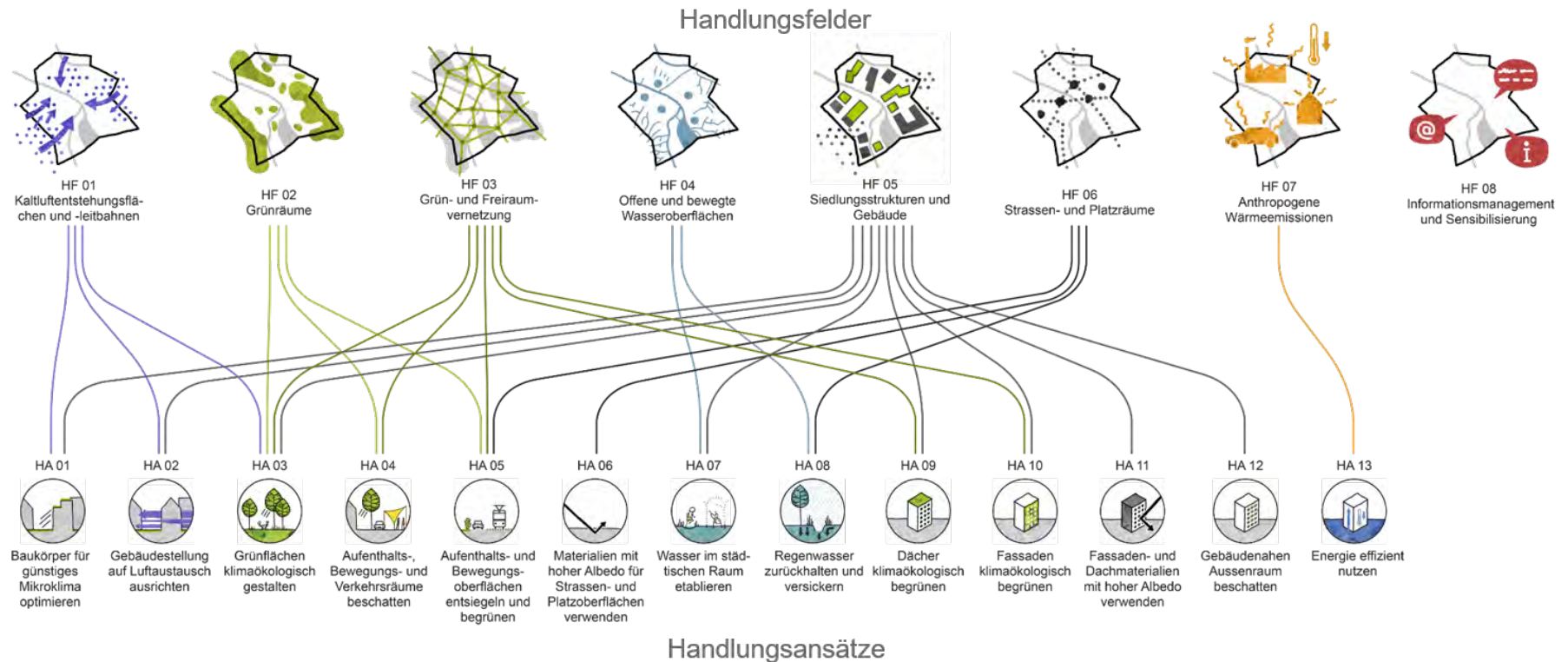
Ruihong, C. (2022): Spatial techno-economical assessment of district cooling potential with lakes at a European scale. ETH Zürich.

Re-thinking cooling

■ Heat adaptive city planning



<https://www.zuonline.ch/wie-zuerich-die-hitze-bekaempfen-will-724648800732>



<https://www.stadt-zuerich.ch/ted/de/index/gsz/planung-und-bau/fachplanung-hitzeminderung.html>

Lessons learnt for addressing the cooling challenge

- **Heating still dominates**, but cooling is becoming more important
- System level impact and the search for most **sustainable solution**. E.g. heat pumps and peak electricity demand, role of PV
- No solution fits all for addressing cooling challenge. Passive cooling, city design, building construction/retrofit strategies....
→ Consider both supply and demand side.
- **Socio-technical system**: Cooling regulations, user behaviour...

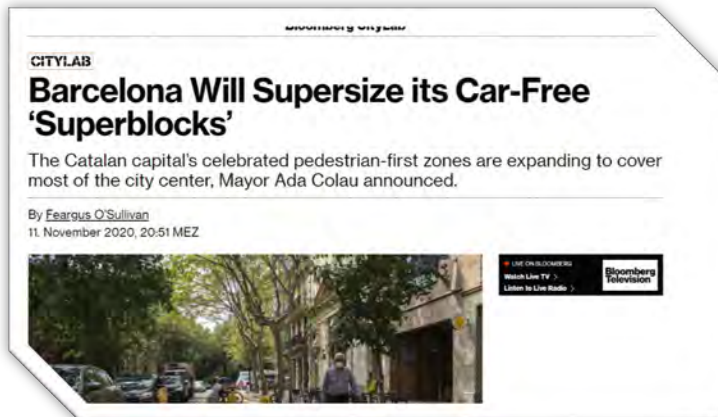


Fostering urban green space with superblocks

Recycling of urban land and alternative street use

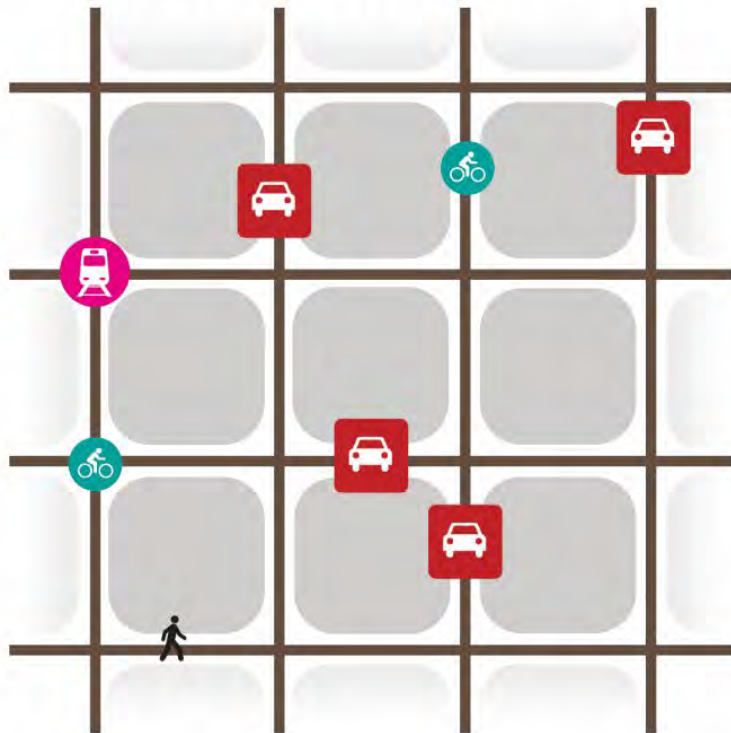


Rethinking infrastructure in times of crisis

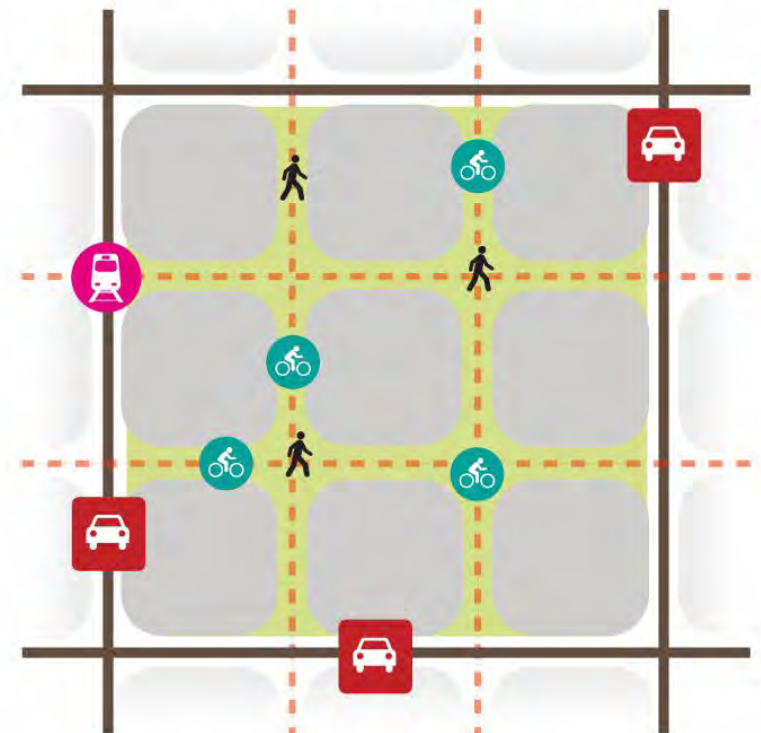


The superblock model

Current situation

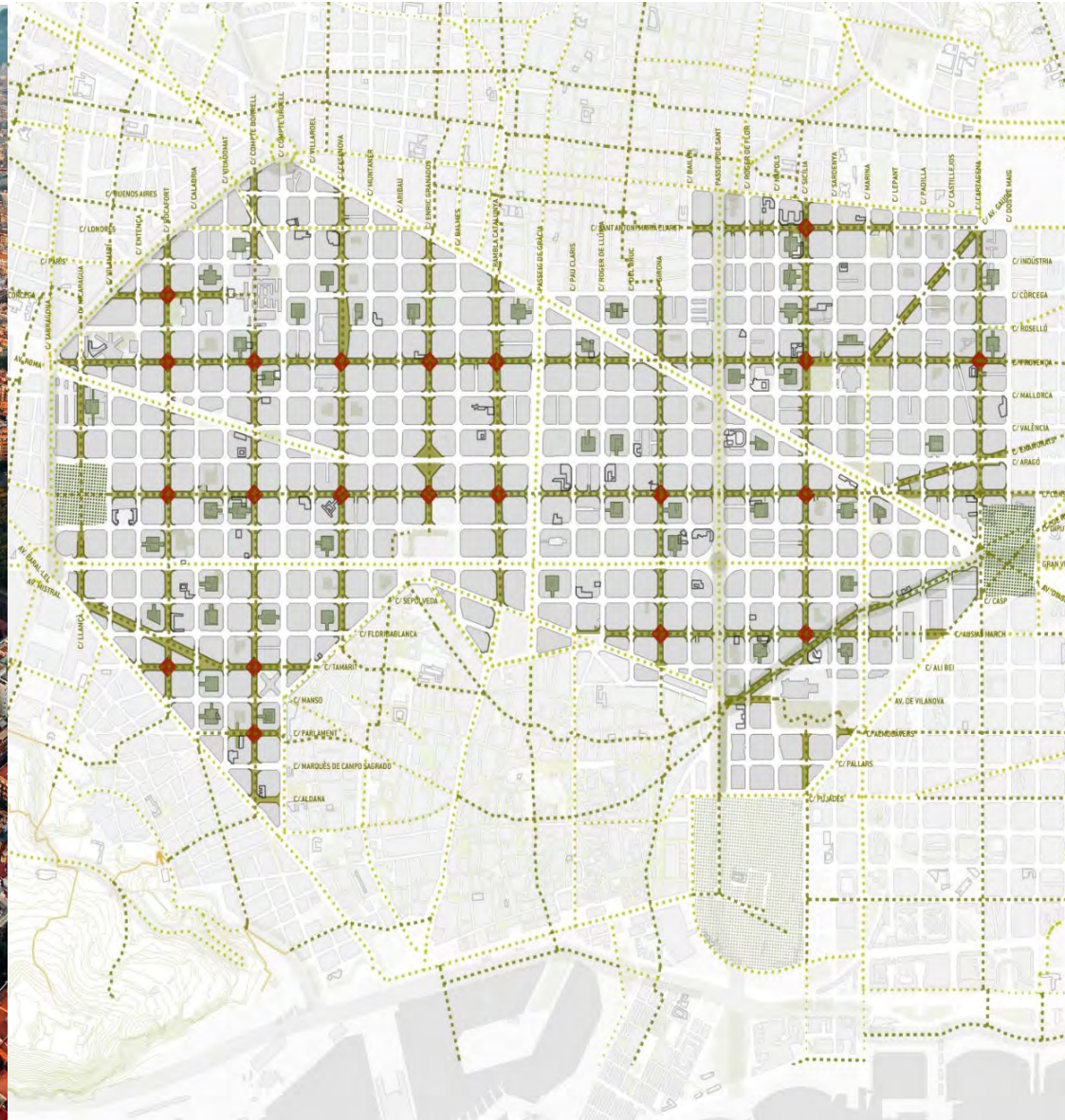


Superblock situation



■ Urban block — Exterior street - - - Interior street ■ Transformed space

Barcelona case study



Fostering urban green spaces with superblocks?

- Cities define canopy coverage goals
- Minimum number of green space per person
- Accessibility to public green space for inhabitants



Call for integrated thinking: Affects multiple infrastructure systems

- Mobility, buildings, water ...

- Multiple implications
 - Ecology (e.g. biodiversity)
 - Energy (urban heat island)
 - Water
 - Noise
 - Mobility
 - ...



Ecosystem services
&
Disservices

Re-thinking streets

Rotwandstrasse



https://www.stadt-zuerich.ch/ted/de/index/taz/gestalten/brings_uf_d_strass.html

Rotwandstrasse



Spielstrasse Aegerten-/Erlachstrasse, provisorisch", Zürich 1974

Multifunctional streets and urban green



Source: <https://medium.com/dark-matter-and-trojan-horses/daylighting-melbourne-how-we-can-transform-our-cities-street-by-street-2345410741>

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The Guardian

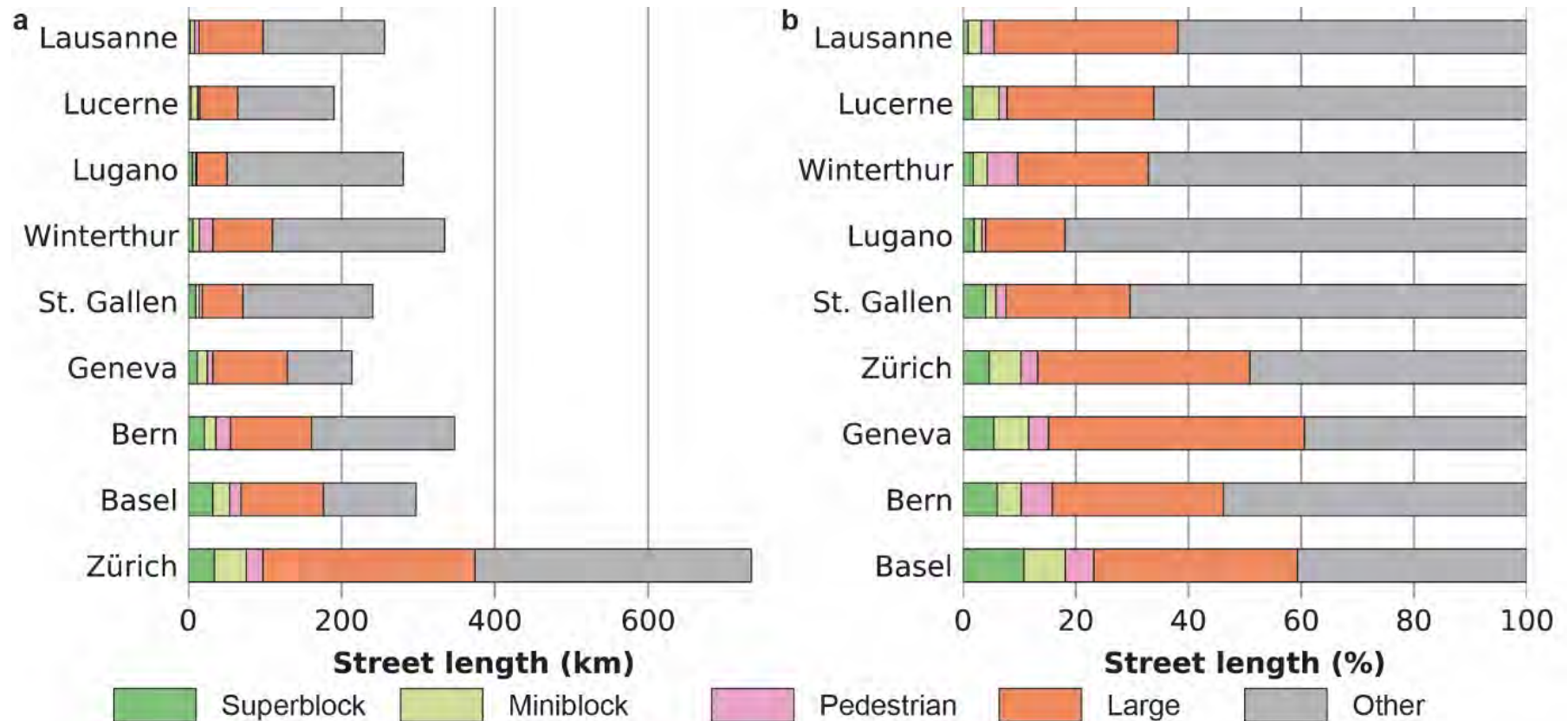
News Opinion Sport Culture Lifestyle



Where?

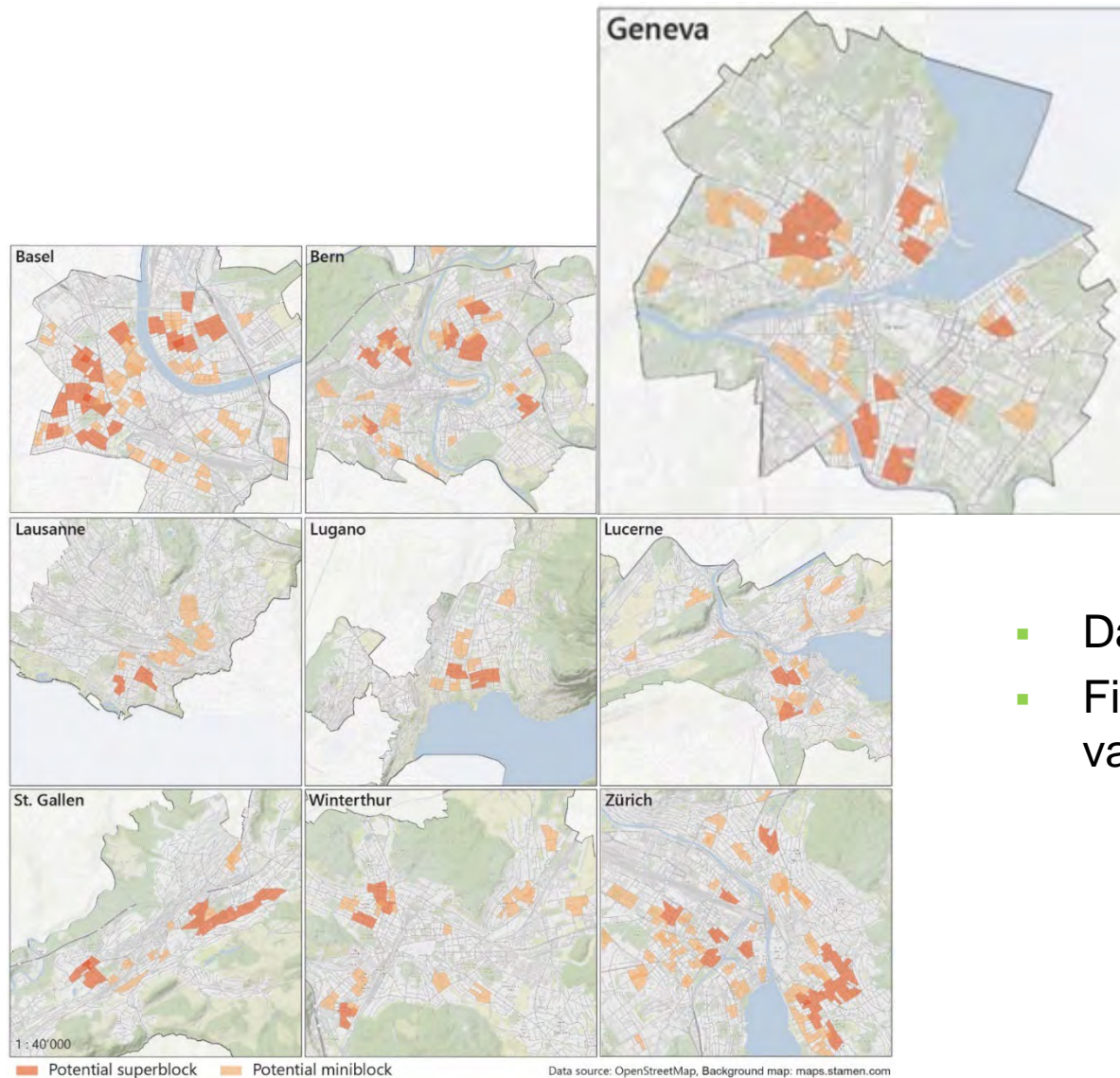


Superblock opportunities in Switzerland



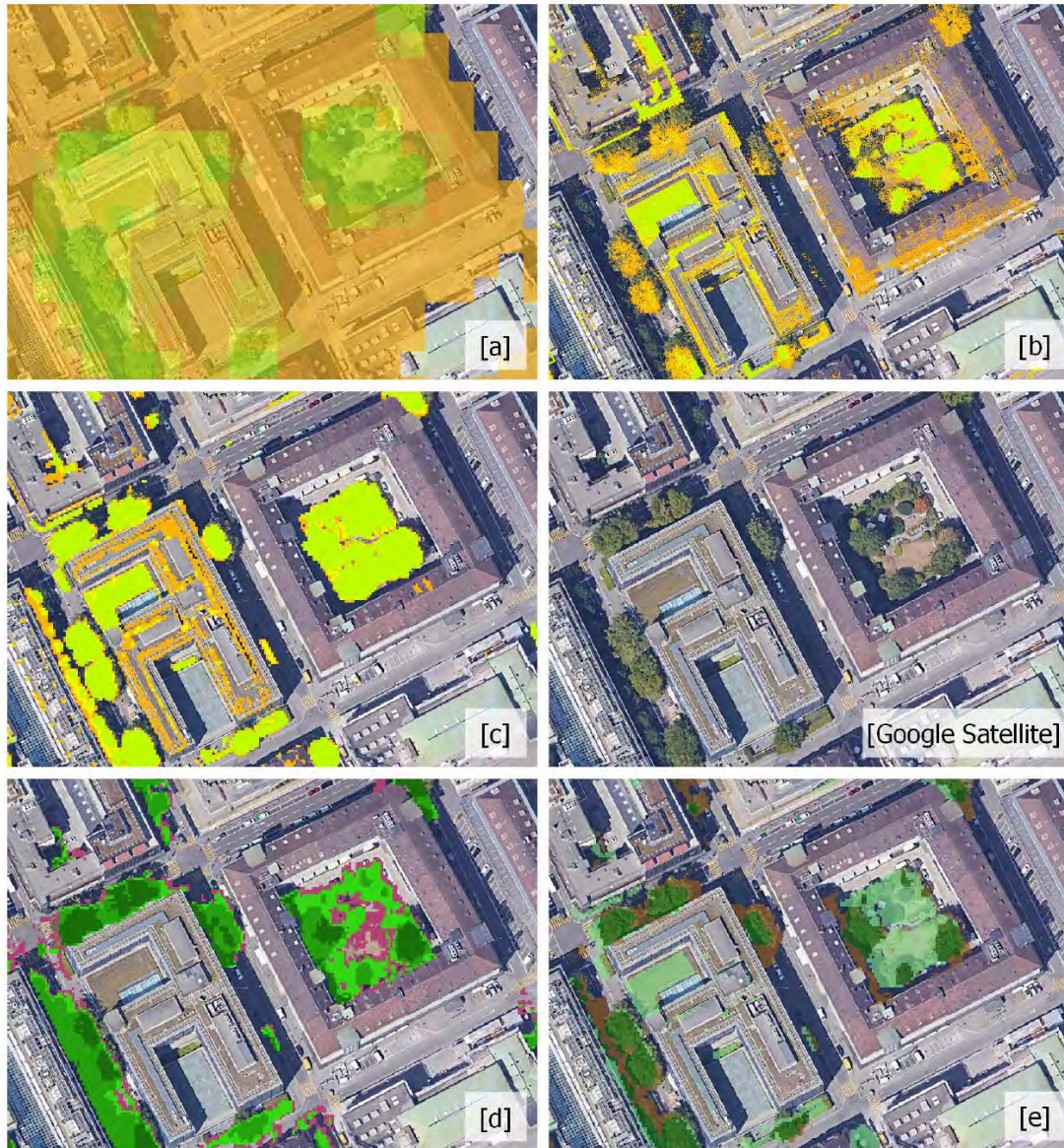
- Between **3 – 18%** of street network potentially suitable for superblock design

Identification of superblock design opportunities



- Data-driven identification
- First screening for follow-up validation

Analysis of current urban green



[a-c] Vegetation type (NDVI)

- Very dry vegetation (0.01 - 0.15)
- Dry Vegetation (0.15 - 0.2)
- Irrigated Vegetation (0.2 - 0.3)
- Wet vegetation (0.3 - 1.0)

[d] Vegetation height [in m]

- 0.01 - 0.5
- 0.5 - 5
- 5 - 15
- 15 +

[e] Composite image [e]

- Meadow
- Tree (VHM only confirmation)
- Tree

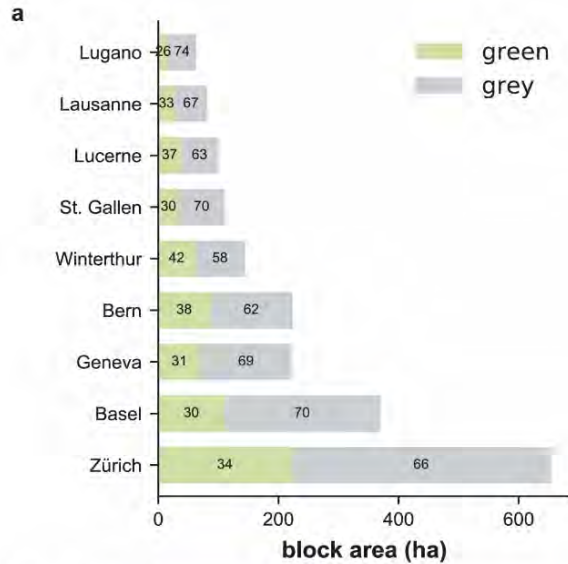
0 100 m



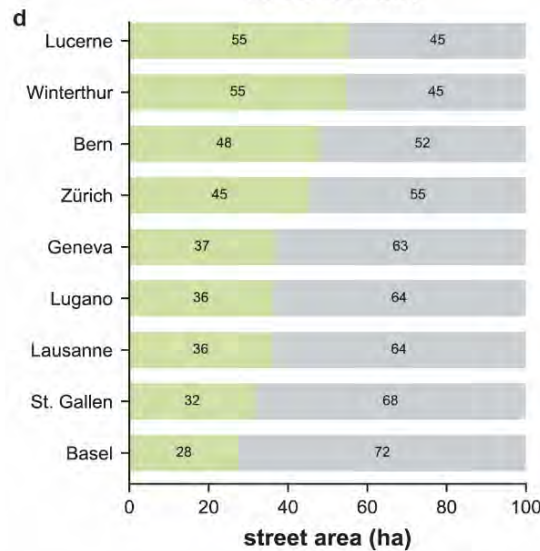
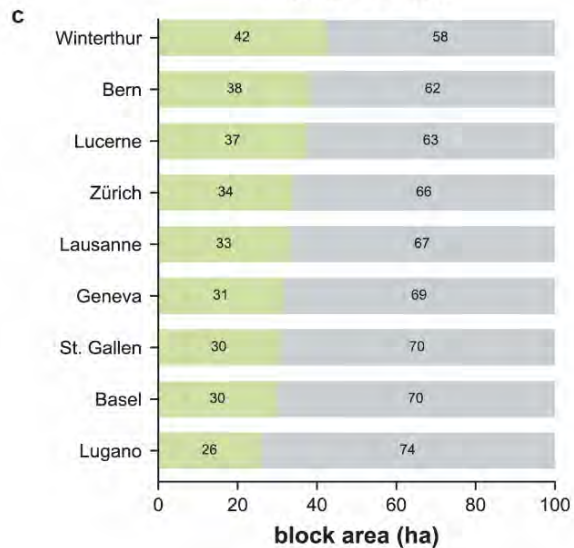
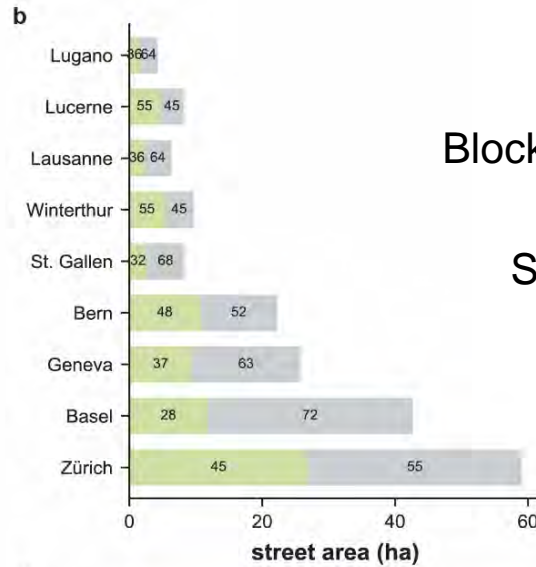
Map source: Google Satellite

Urban green space in superblocks

Block area



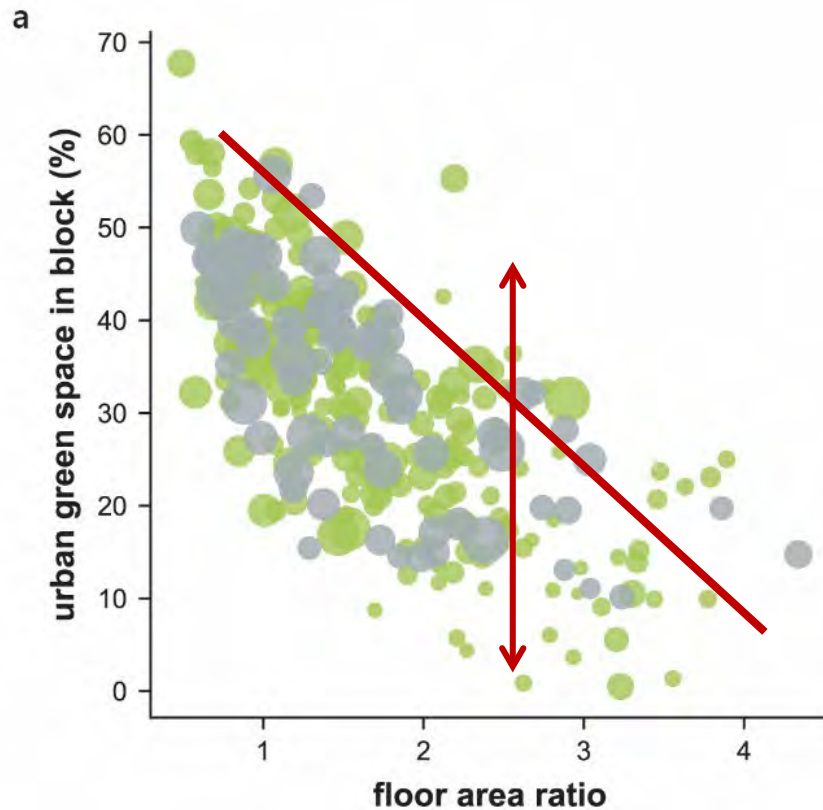
Street area



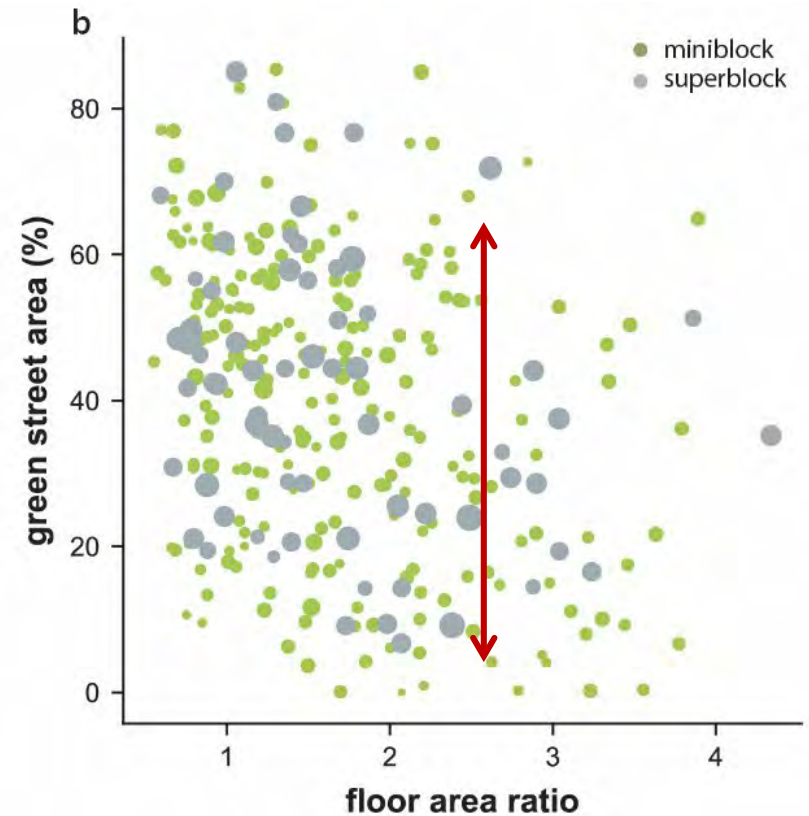
- Street area is smaller than block area
- Largest absolute potential street space in Zürich and Basel
- Street space greenest in Lucerne, least green in Basel

Densification and urban green space

Block area



Street area



- Perceived density and urban green space
- Urban green space as an argument against densification





Sweden says goodbye to parking spaces, hello to meeting places



Where have all the cars gone? Image: LundbergDesign/ArkDes/Elsa Soläng

Lessons learnt

- Superblocks are an **interesting opportunity** to foster urban green in cities
- Green and dense: **Trade-off** was identified, but street space can potentially reduce this trade-off.
- System-of-system analysis: **Integrated tackling** of challenges (mobility, urban heat, densification,...)
- **Socio-technical system**: Manifold implementation challenges lie ahead





Urbanization

Opportunities for sustainable densification



Climate Change

Climate change and building cooling demand



Urban Green space

Superblocks: transformation street space



Concluding remarks

- Cities and infrastructure systems require **fast sustainability** transition to address multiple and complex challenges.
- Re-thinking current infrastructure systems requires **embracing novel paradigms**
 - Urbanization: Geography of densification
 - Cooling challenge: Consider supply and demand side
 - How we design cities: Climate change adaptation and mitigation with urban green infrastructure
- Call for research **bridging** other infrastructure sectors and disciplines
 - Integrated analysis of multiple infrastructure sectors
 - Socio-technical systems
- Times of crisis as a window of opportunity to drive change