



# An integrative view on the energy transition

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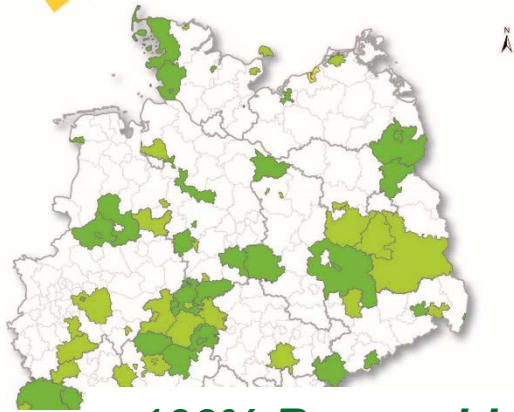
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Dr. Christof Knoeri, ETHZ; Maria Hecher, UniGraz

Geneva, Colloquium 17<sup>th</sup> May, 2018



# The issue

100ee erneuerbare energie region  
100% Erneuerbare-Energie-Regionen  
Stand: Juli 2012



## 100% Renewable Energy Regions

### Bürgermeister pessimistisch

## Durchhänger beim Projekt Energiewende?

*Mayors pessimistic:  
Sagging in the energy transitions?*



**Klimaschutz-Konzept lässt Kreisräte kalt**  
Bad Tölz-Wolfratshausen - Der Aufwand war groß - doch das Ergebnis sorgte für wenig Begeisterung: Kritisch hat der Kreistag am Mittwoch die Präsentation eines Klimaschutzkonzepts für den Landkreis aufgenommen.

*Concept for climate protection does not affect politicians*

**Vision  
The region flourishes**



Integriertes Klimaschutzkonzept für den Landkreis Bad Tölz-Wolfratshausen



## The issue

- Technological, institutional, and social “lock ins”
- Technological innovations alone are not sufficient for a transition towards more sustainable energy systems
- Social innovation is required:
  - New actor constellations and governance
  - Behavioral changes
- Necessity to study co-evolution of socio-technical systems (STS)
- Interdisciplinary research is required at theory, framework, methodological, and empirical level

# Goal and Research Questions

## Goal

Integrative and interdisciplinary analysis of energy transitions considering: (i) “technical” energy system; (ii) institutional development; (iii) individual behavior.

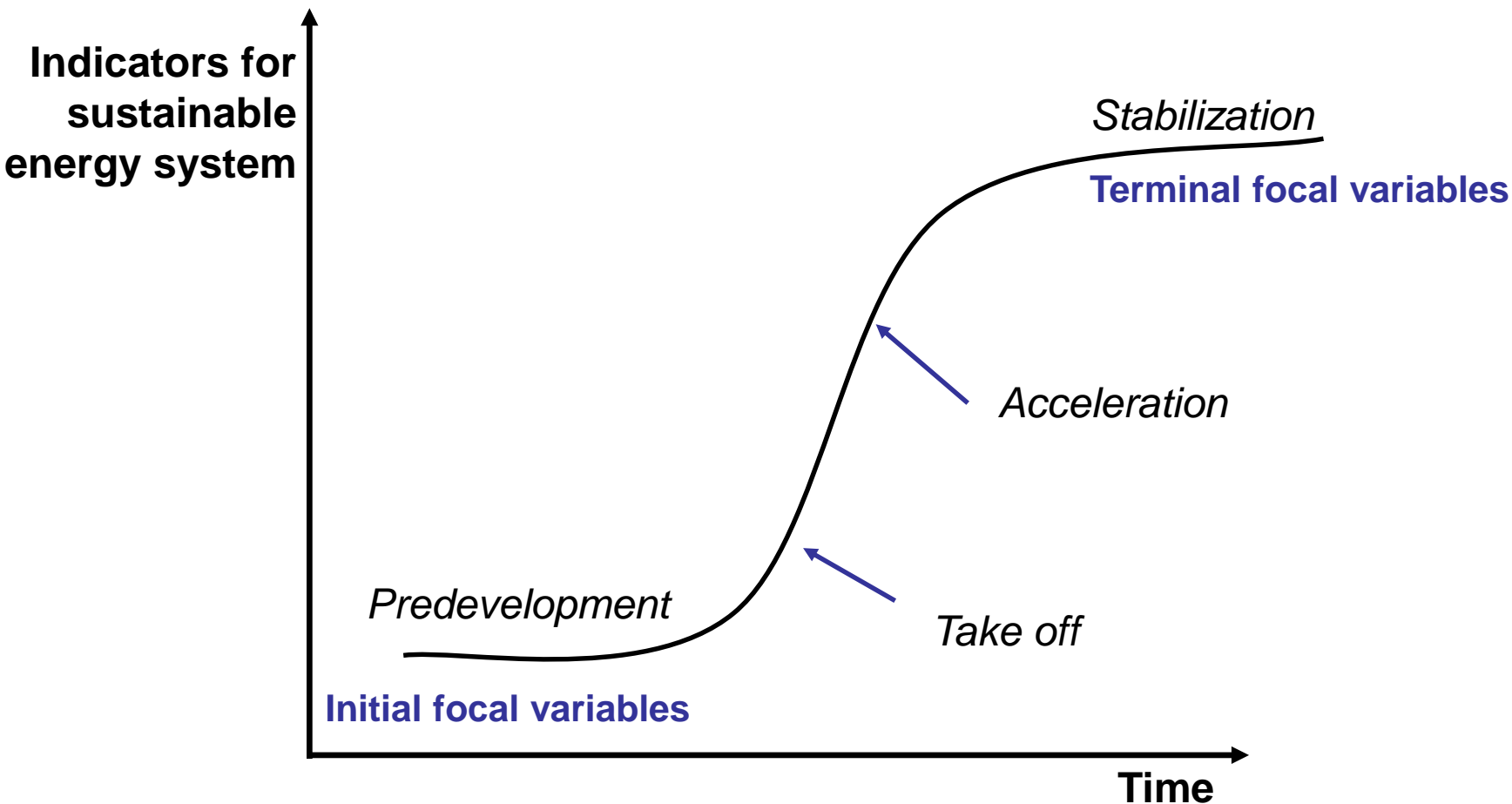
Focus: regional level

## Research questions addressed

1. Which factors and behaviors affect(ed) the transition of the energy region?
2. How can these behaviors (buildings) be explained?
3. How can we conceptualize the resilience of the transition?

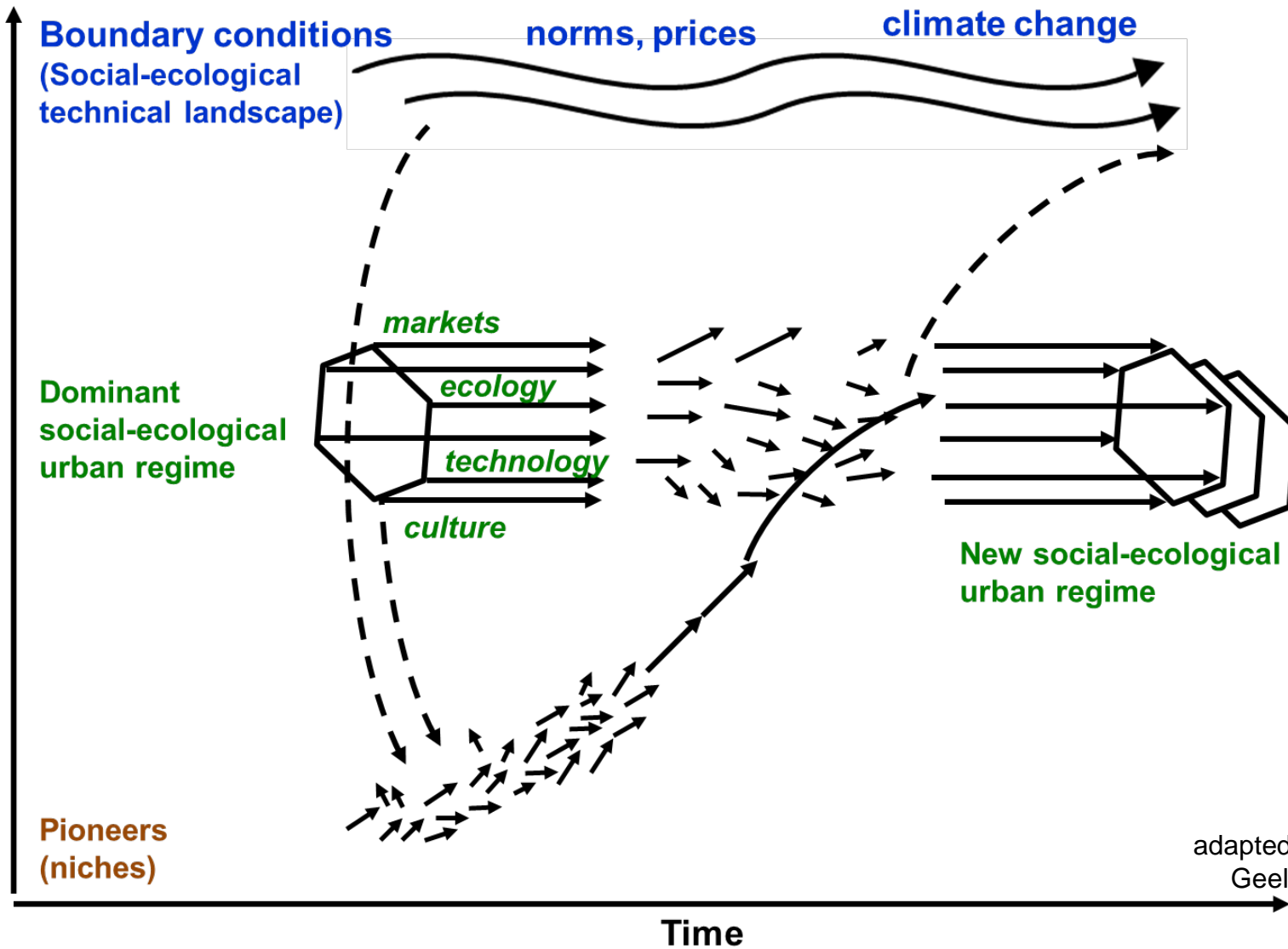
# Conceptual approach

# The transition process



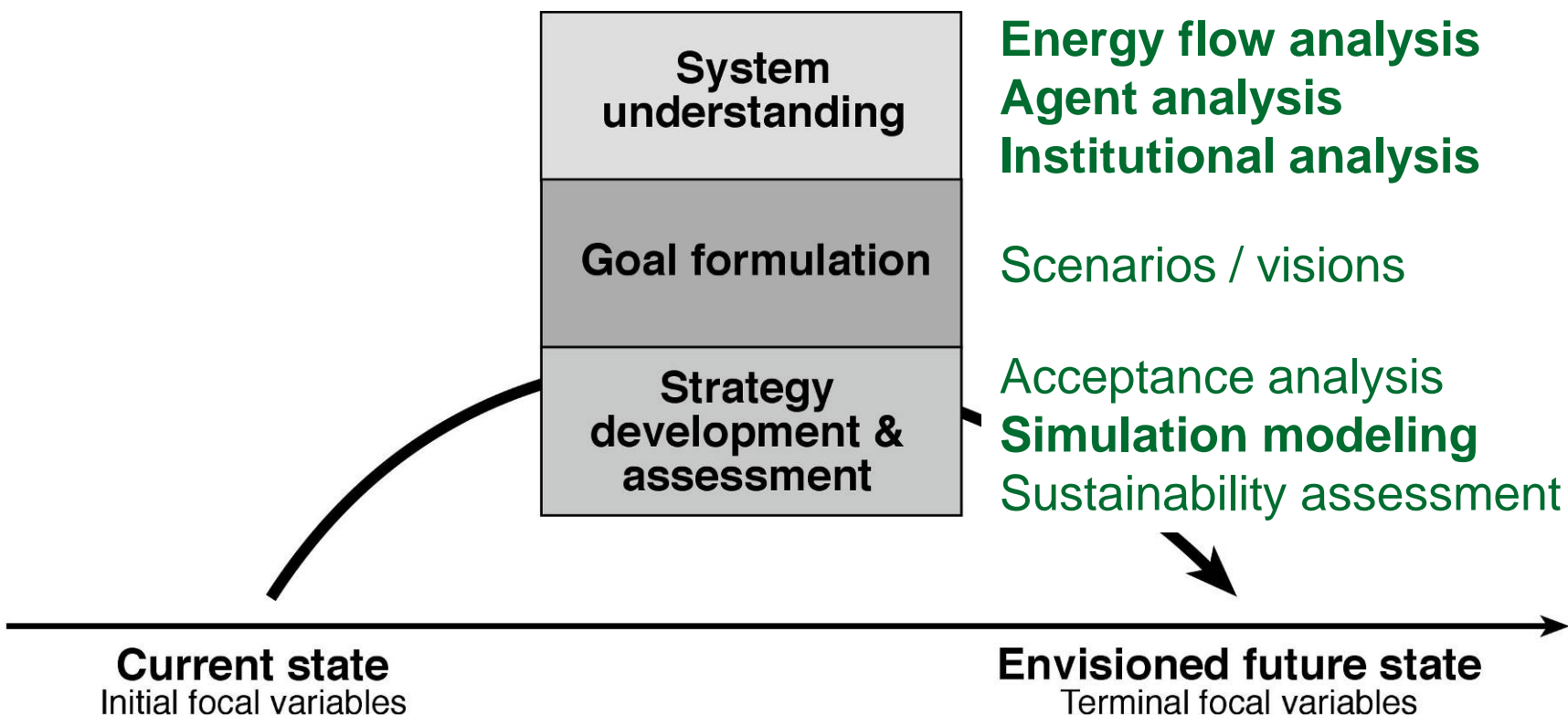
After: Martens & Rotmans, 2002

# The transition process



adapted from Geels 2002;  
Geels and Schot, 2007

## Elements of transition analysis and management

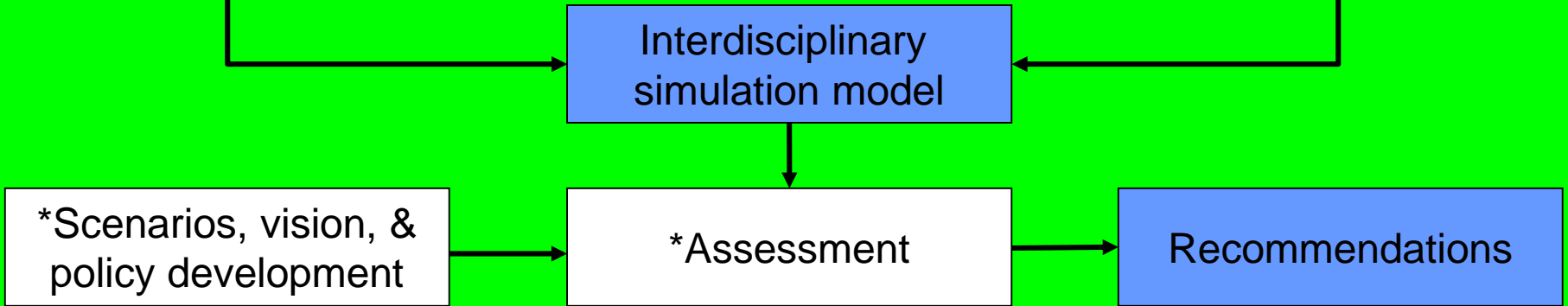
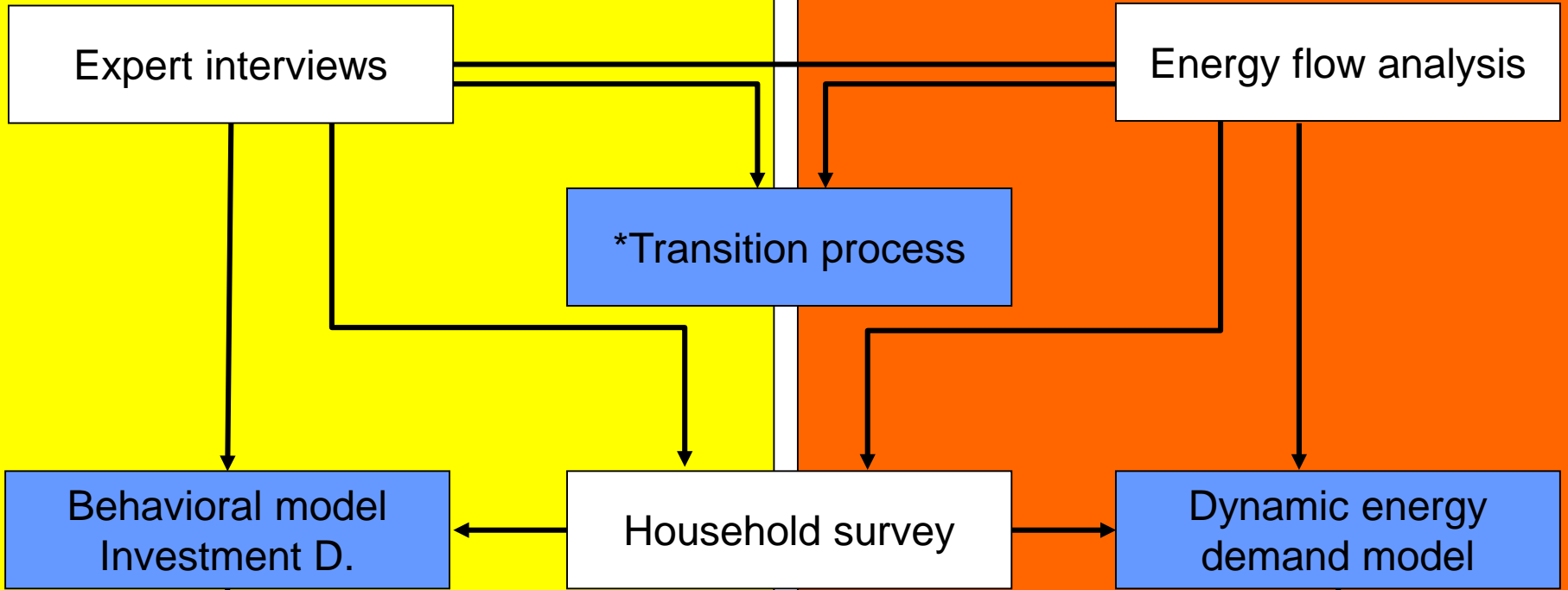


After: Binder et al., 2004



## Actors decision making and institutional development

## Energy flow analysis



## Simulation and assessment of policies and strategies

# Study areas

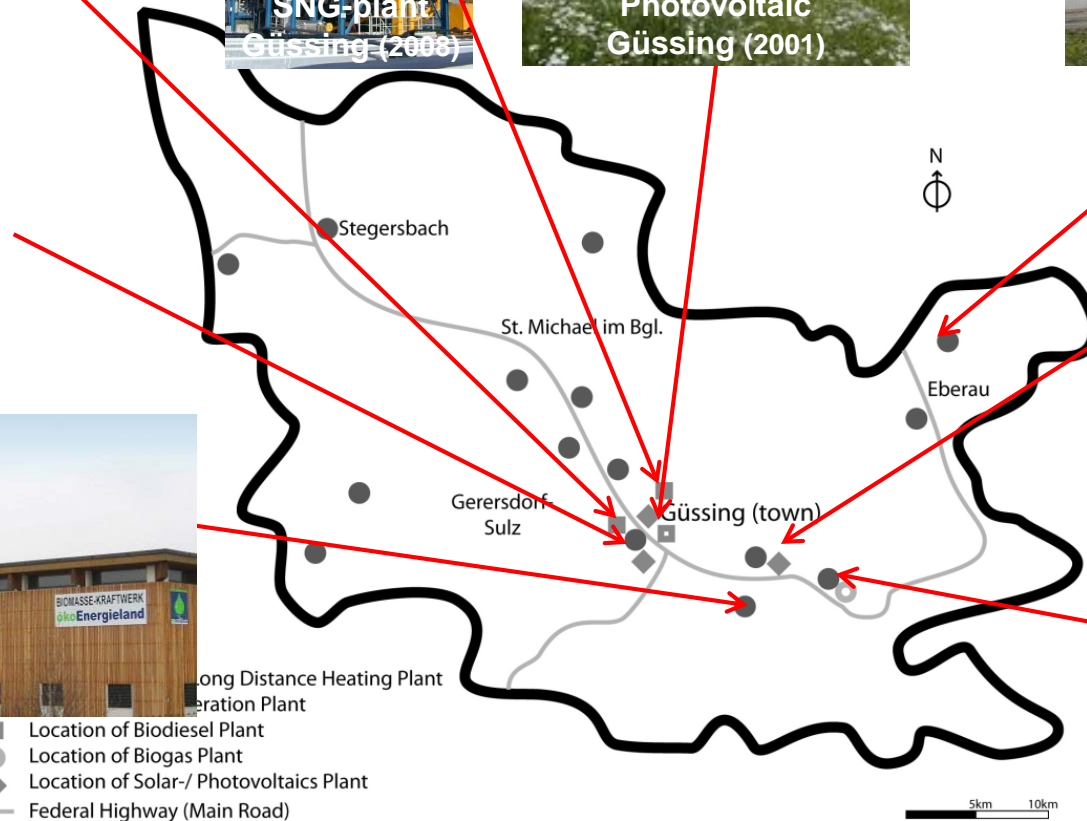
## Study areas

- ökoEnergieland / Güssing
  - Burgenland (AT)
  - 14 communities
  - Founded 1990 (2005)
  - Biomass
  - High unemployment and migration
  
- Energy region Weiz-Gleisdorf
  - Steiermark (AT)
  - 18 communities
  - Founded 1996
  - Energy technologies
  - Good employment possibilities



# ÖkoEnergieLand

## Decentralized local energy production



<http://www.eee-info.net>

- Location of Biodiesel Plant
- Location of Biogas Plant
- ◆ Location of Solar-/ Photovoltaics Plant
- Federal Highway (Main Road)

Hecher, 2012; PSI, 2008

# Weiz-Gleisdorf Light-house projects

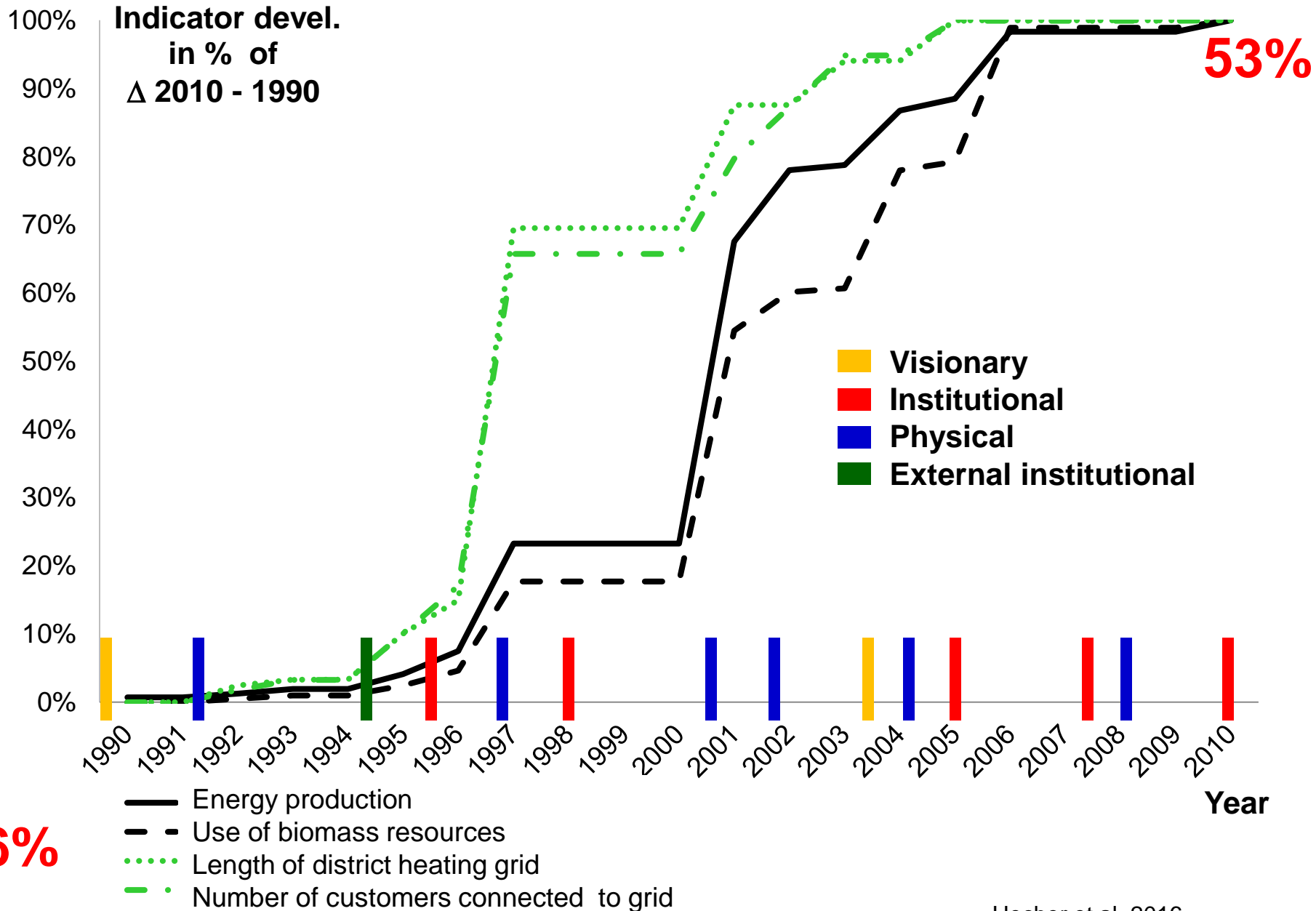


Source: Bedenik and Hecher, 2012

## Research questions

1. Which factors and behaviors affect(ed) the transition of the energy region?
  - **Energy flow parameters and milestones**
  - **Future energy demand from buildings and regional supply**
2. How can these behaviors be explained?
3. How can we conceptualize the resilience of the transition?

# Milestones in the energy transition



# Linking energy demand to energy supply

## Scenarios for regional energy demand

### Bottom up simulation of 15 scenarios

- Envelope renovation rate
- Legislative standards
- Heating technologies

### Entities

- Individual buildings (SFH, MFH, NRB)
- Construction period
- Heating system

### Data source

- Statistical office Austria



## Regional supply of renewable energy

### Top down scenarios for supply potential

- Technical maximum
- Competing use
- Spatial accessibility

### Entities

- Forest
- Agriculture
- Solar energy (PV, solar-thermal)

### Data source

- Statistical office Austria

Binder et al, 2016



# Energy standards and energy demand in 2050

## BAU

Ren. rate: 0.8%

### Energy standards

New B.: 80 kWh/m<sup>2</sup>a

Ren. B.: 100 kWh/m<sup>2</sup>a

## REN

Ren. rate: 1.6%

### Energy standards

New B.: 80 kWh/m<sup>2</sup>a

Ren. B.: 100 kWh/m<sup>2</sup>a

## LEG

Ren. rate: 0.8%

### Energy standards

New B.: 25 kWh/m<sup>2</sup>a

Ren. B.: 50 kWh/m<sup>2</sup>a

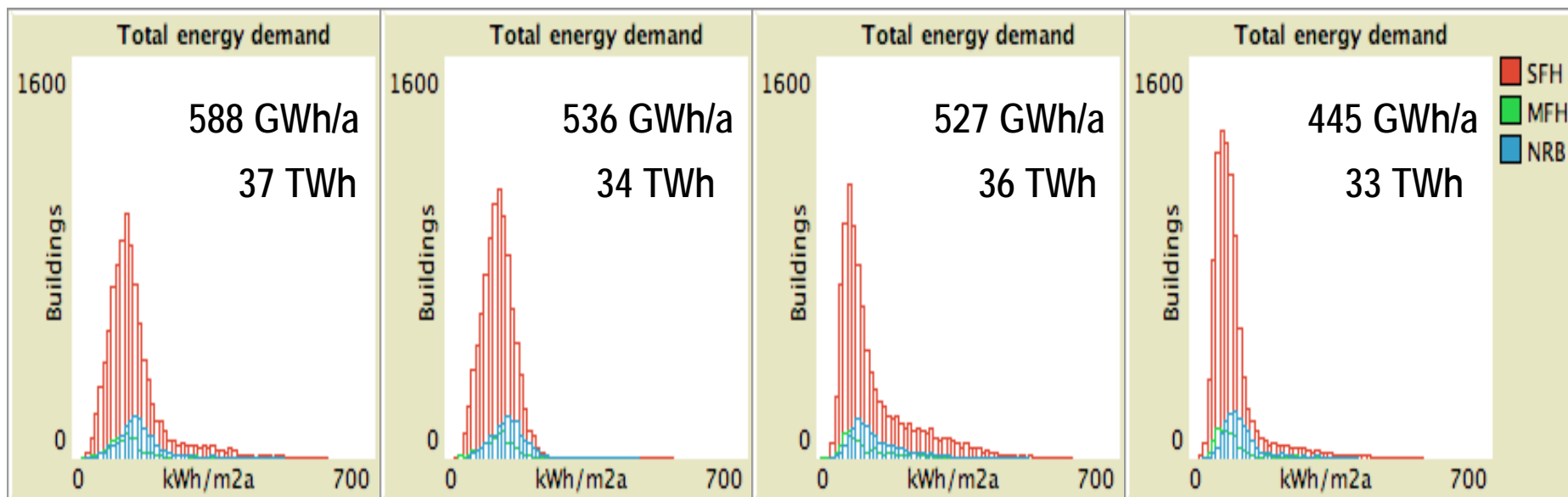
## TRANS

Ren. rate: 1.6%

### Energy standards

New B.: 25 kWh/m<sup>2</sup>a

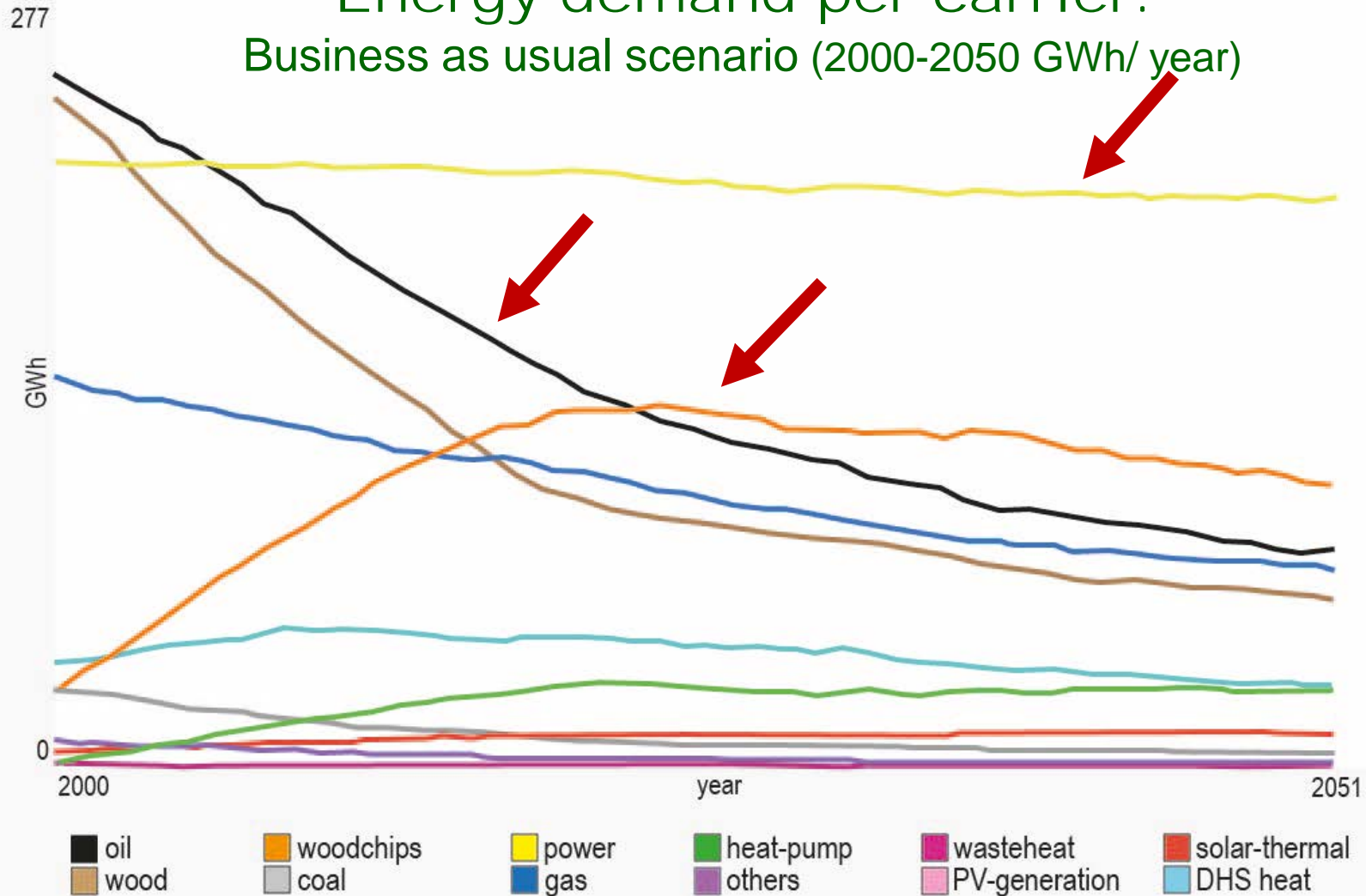
Ren. B.: 50 kWh/m<sup>2</sup>a



■ Single family houses
 ■ Non residential buildings
 ■ Multiple family houses

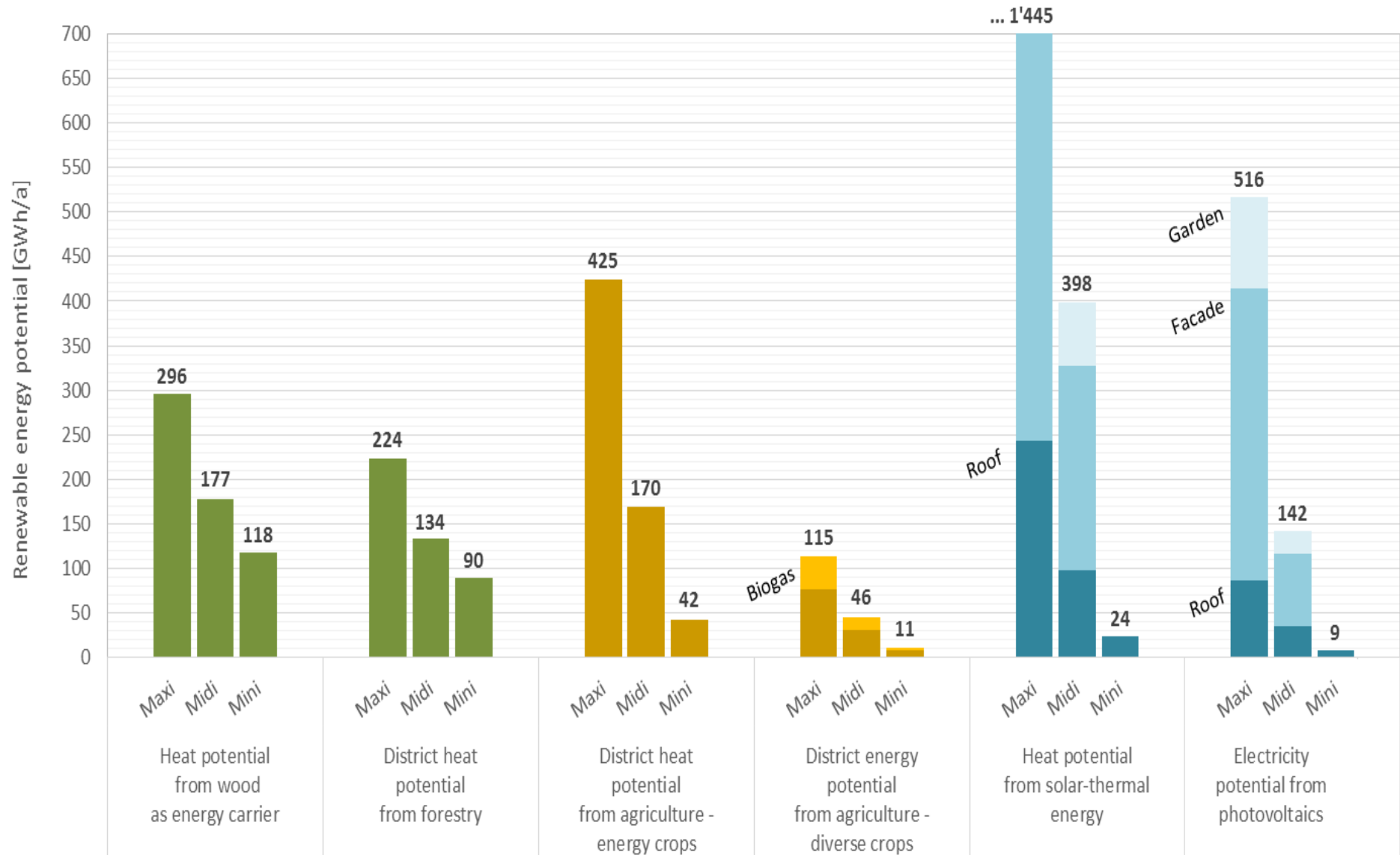
Binder et al., 2016

## Energy demand per carrier: Business as usual scenario (2000-2050 GWh/ year)



Binder et al., 2016

## Supply from different sources



## Aligning supply and demand

|  | DEMAND |     |     | SUPPLY POTENTIAL |             |
|--|--------|-----|-----|------------------|-------------|
| Demand scenarios                             | BAU    |     |     | MINI             | MAXI        |
| Heating systems scenarios                    | BAU    | ALT | BIO |                  |             |
| Wood & Woodchips (2050) [GWh/a] <sup>1</sup> | 161    | 105 | 264 | 118              | 296         |
| Solar-thermal (2050) [GWh/a] <sup>2</sup>    | 11     | 24  | 6   | 24               | 1445        |
| Heat from DHS (2050) [GWh/a] <sup>3</sup>    | 29     | 30  | 27  | 42 (11)          | 425 (114)   |
| Electricity (2050) [GWh/a] <sup>4</sup>      | 206    | 229 | 196 | 9                | 516 (F:64%) |

Binder et al., 2016

## Summary (I)

- Visionary leaders, political agents at **regime level** were key for creating a vision and promoting the transition.
- **Co-evolution** of the STS  $\Rightarrow$  **Visionary** and **institutional** milestones precede **physical** milestones.
- **Path dependency** of technical strategies selected linked to infrastructural measures such as district heating grid
- **Trade-off** between “faster” transition and “stock” of high energy efficient houses.
- Energy supply has to be planned in a flexible way.
  - Regional versus short distance?
  - Electricity supply

## Research questions

1. Which factors and behaviors affect(ed) the transition of the energy region?
2. How can these behaviors be explained?
  - **Decisions on energy efficiency in the building sector**
3. How can we conceptualize the resilience of the transition?

## Methods

- Explorative expert interviews  
(owners and experts)
- Survey (N=127 valid questionnaires)  
random sample from list of building permits (2008-2013)
- Multiple regressions
  - Decision on **own energy efficiency** standard
  - **Preferred** energy efficiency standard **today**
  - Energy efficiency standard **recommended to a friend**

# Three phases in selecting and evaluating energy efficiency in renovation and new buildings

## Orientation

**Outcome:**  
Highest preferred energy standard



## Planning and Implementation

**Outcome:**  
Selected energy efficiency standard



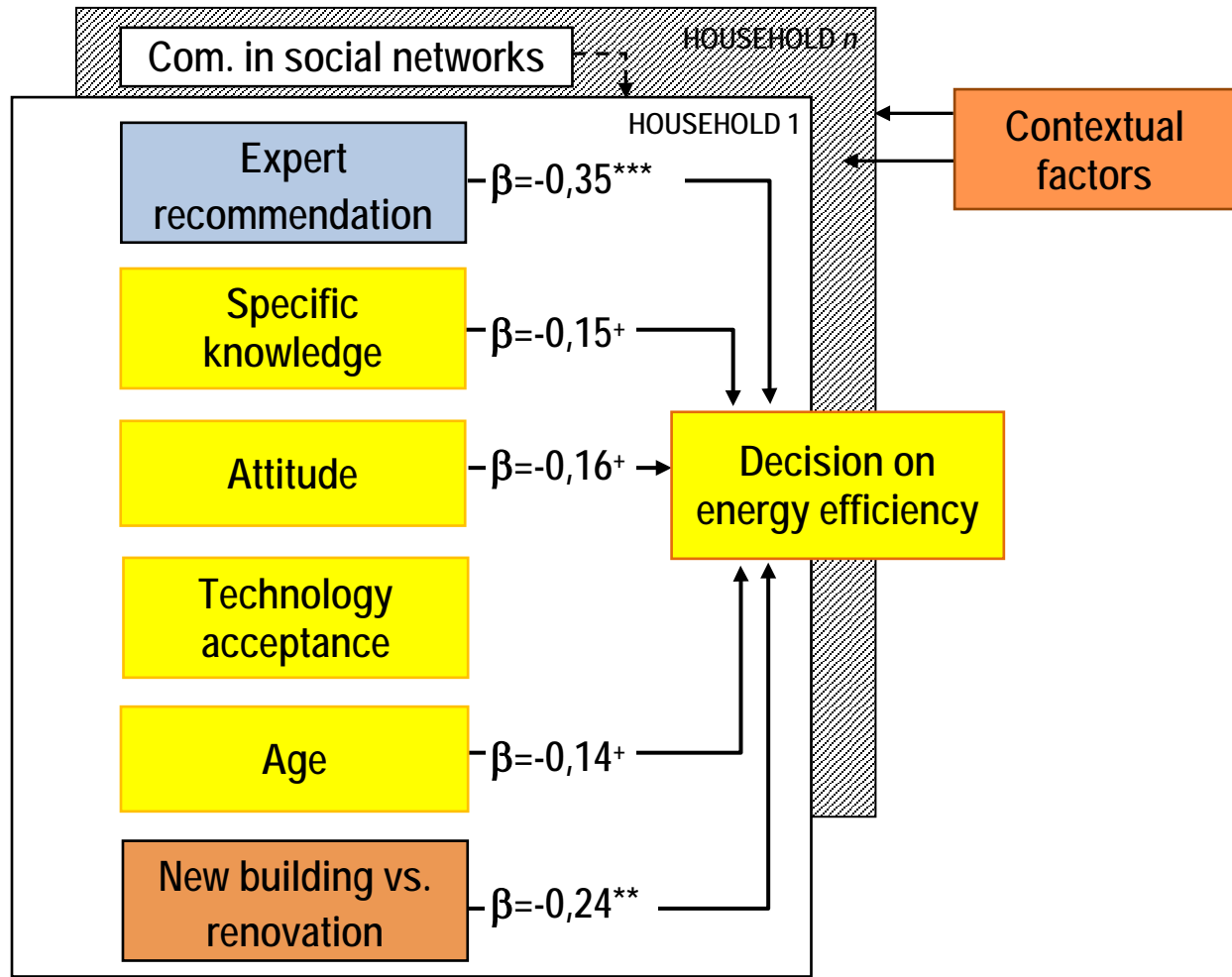
## Evaluation

**Outcomes:**  
Highest preferred energy standard today  
Highest energy standard recommended to a friend



# Factors affecting decision on energy efficiency

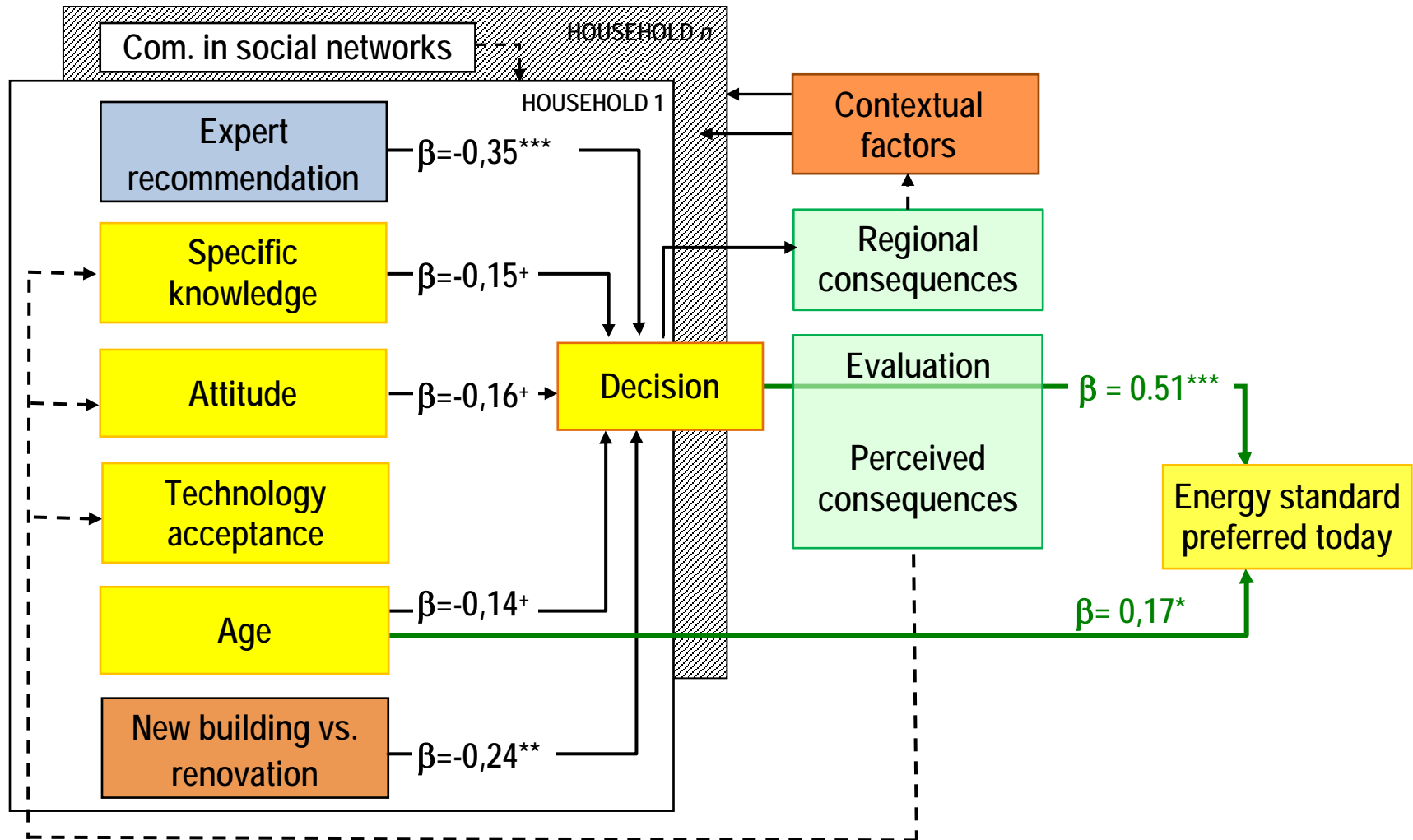
Energy efficiencies:  $A^{++} = 10\text{kWh/m}^2\text{a}$ ,  $A^+ = 15\text{kWh/m}^2\text{a}$ ,  $A = 25\text{kWh/m}^2\text{a}$ ,  $B = 50\text{kWh/m}^2\text{a}$ ,  $C = 100\text{kWh/m}^2\text{a}$



$N=127$  /  $*** p < 0.001$ ,  $** p < 0.01$ ,  $* p < 0.05$ ;  $+ p < 0.1$  ; Overall model,  $p < .001$ ,  $R^2 = 0.31$  (Adjusted  $R^2 = .28$ )

# Energy efficiency standard preferred today

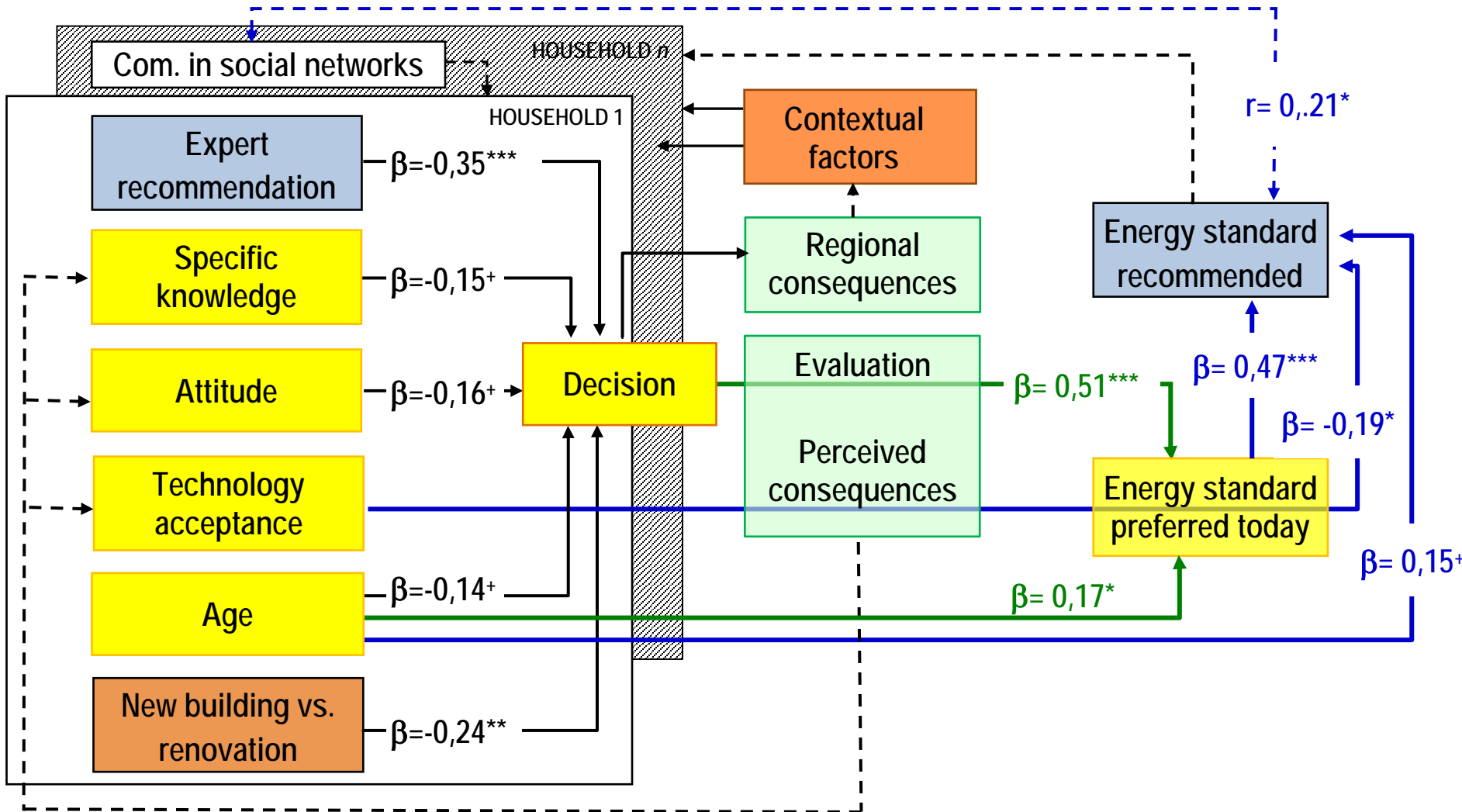
Energy efficiencies: A<sup>++</sup> = 10kWh/m<sup>2</sup>a, A<sup>+</sup> = 15kWh/m<sup>2</sup>a, A = 25kWh/m<sup>2</sup>a, B = 50kWh/m<sup>2</sup>a, C = 100kWh/m<sup>2</sup>a



N=127 / \*\*\* p < 0.001, \* p < 0.05; Overall model, p < .001, R<sup>2</sup> = 0.30 (Adjusted R<sup>2</sup> = .29)

# Energy efficiency standard recommended

Energy efficiencies: A<sup>++</sup> = 10kWh/m<sup>2</sup>a, A<sup>+</sup> = 15kWh/m<sup>2</sup>a, A = 25kWh/m<sup>2</sup>a, B = 50kWh/m<sup>2</sup>a, C = 100kWh/m<sup>2</sup>a



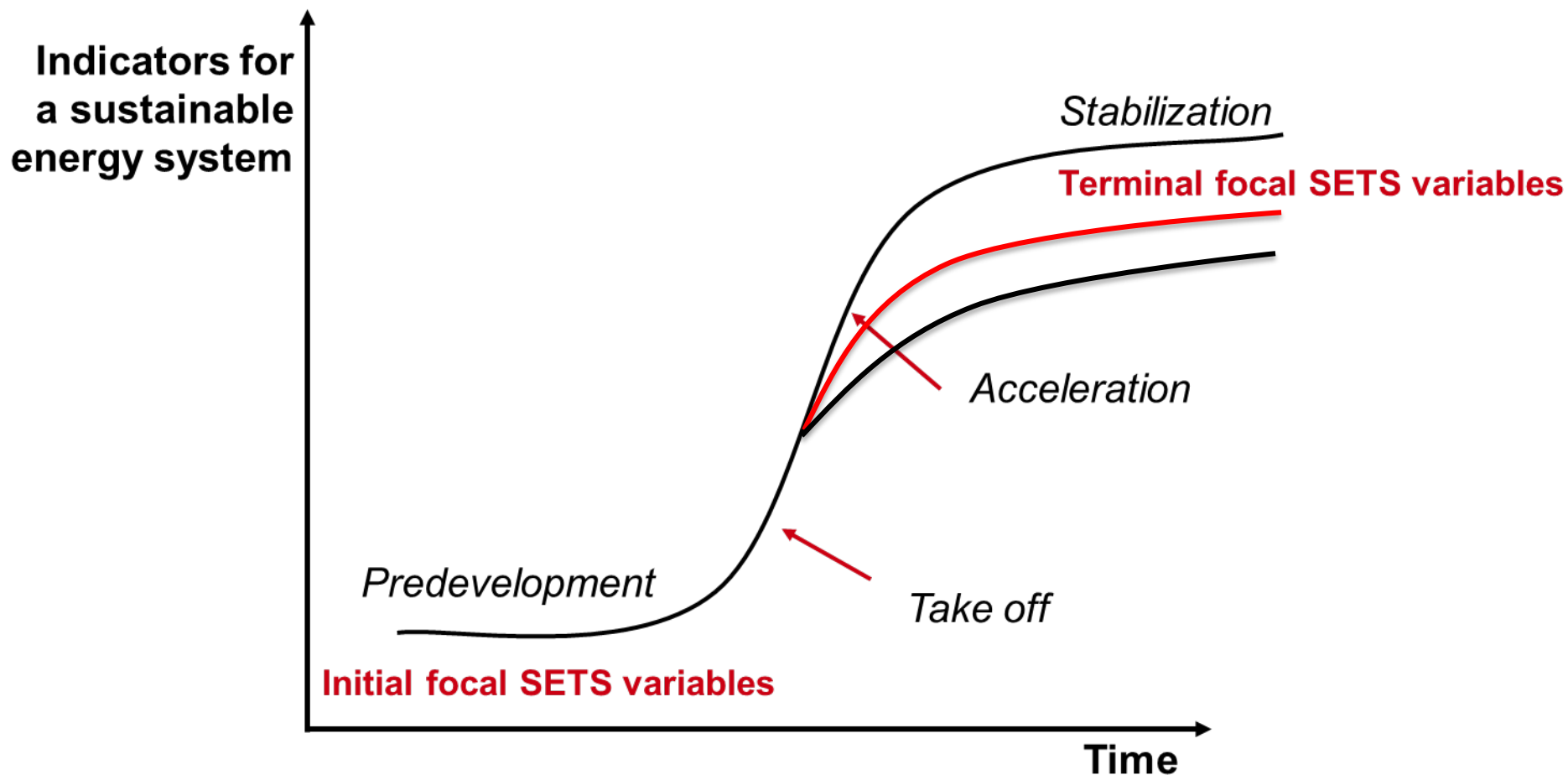
N=127 / \*\*\* p < 0.01, \* p < 0.05, + p < 0.1, Overall model, p < .001, R<sup>2</sup> = 0.31 (Adjusted R<sup>2</sup> = .29)

## Summary (II)

- Between the orientation phase and the final decision the desired energy efficiency decreases.
- Key decision factors are: expert recommendation > age > attitude and knowledge.
- The energy efficiency aimed at today and recommended to a friend are higher than the one the owners implemented themselves.
- We could not measure that social networks play a significant role when including other factors in the analysis

## Research questions

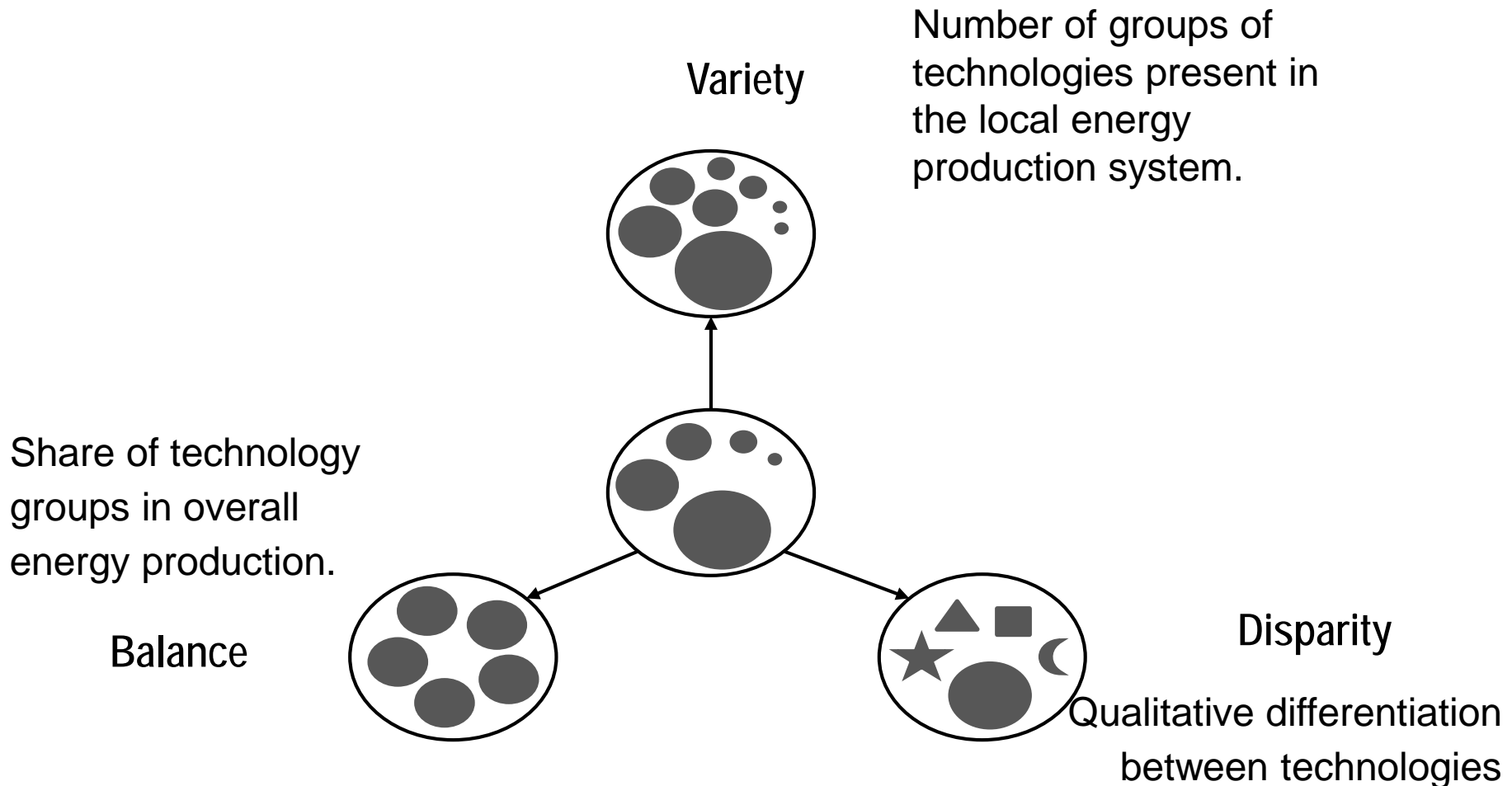
1. Which factors and behaviors affect(ed) the transition of the energy region?
2. How can these behaviors be explained?
3. How can we conceptualize the resilience of the transition?



After: Martens & Rotmans, 2002

- What determines the continuity or resilience of the transition process?
- What are useful indicators to monitor the energy transition process itself?

# Diversity to measure resilience



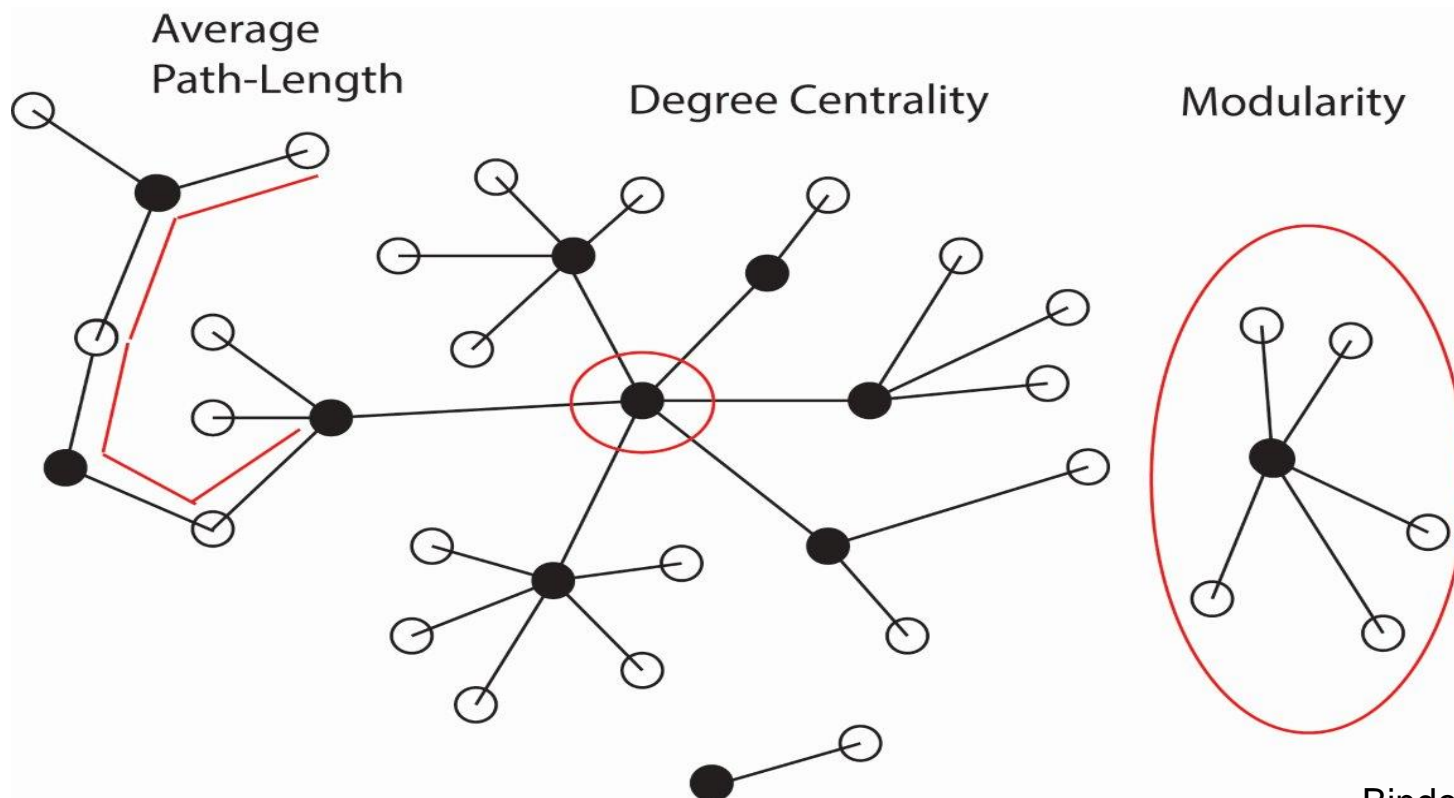
After Stirling 2007

# Connectivity to measure resilience

Length of the transmission lines between production and consumption sites.

Number of connections to other producers or/and consumers in the distribution network.

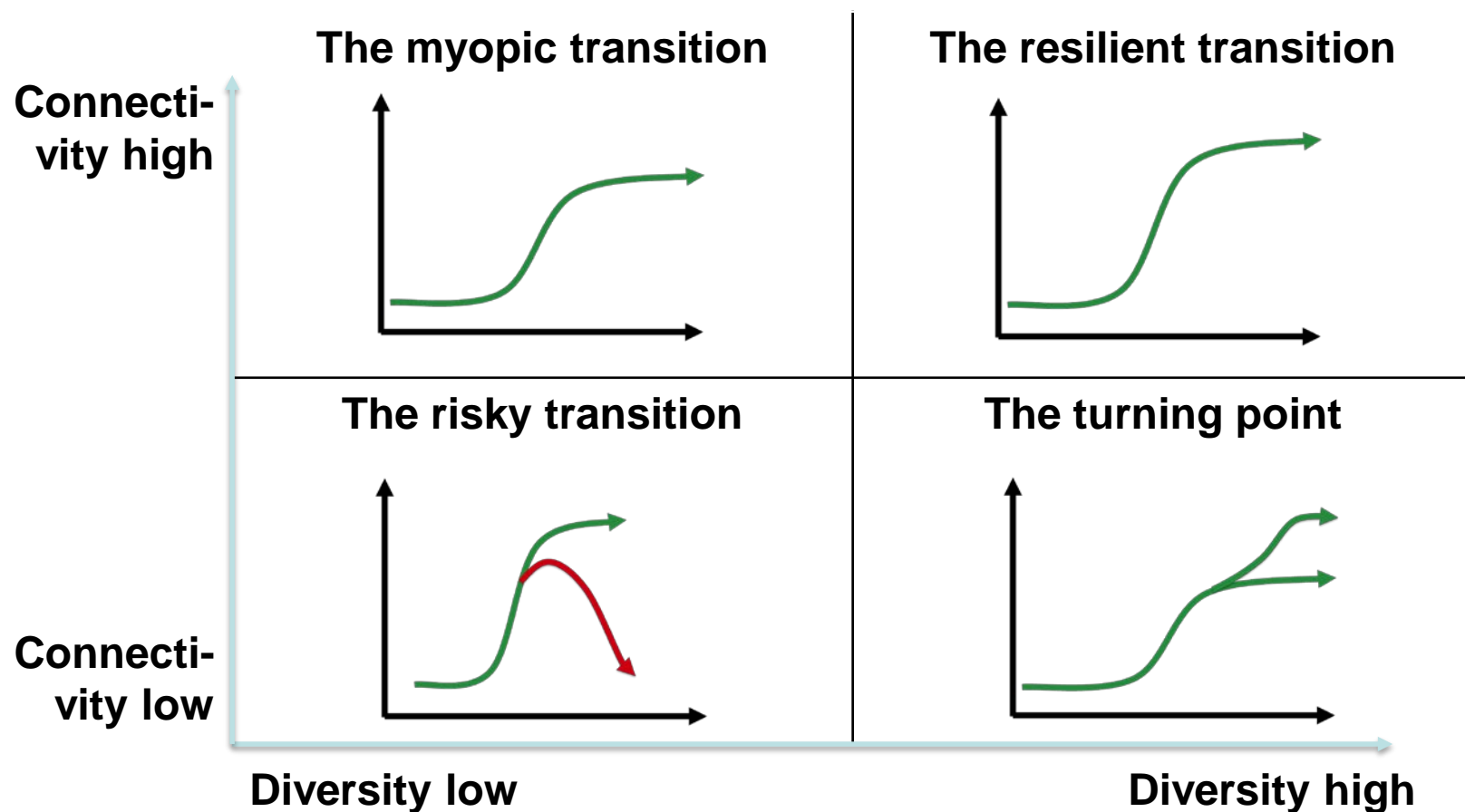
Measure of autonomy of certain parts of the distribution network



Binder et al., 2017



## Key insights



Binder et al., 2017

## Conclusions (I)

- Delay between institutional development and technical energy system
- Path-dependency / socio-technical lock ins
- Supply has to be aligned to changes and dynamics in energy demand, otherwise recommendations might lead to “overshoot” or inflexible supply structures  
→ need to include space in supply analysis
- Experts are key to change behavioral patterns  
→ role of universities and higher education
- Feedbacks between decisions and social environment not measurable yet.
- The resilience of a transition can be studied by using a set of 6 indicators depicting the diversity and connectivity

## Conclusions (II)

- An integrative perspective combining qualitative and quantitative research approaches is relevant for an understanding of the transition and the dynamics within coupled social and the technical systems.
- There is a need for:
  - developing a framework to make case studies comparable
  - studying more in depth feedback effects between the social, technical and environmental systems



Thank you for  
your attention!

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